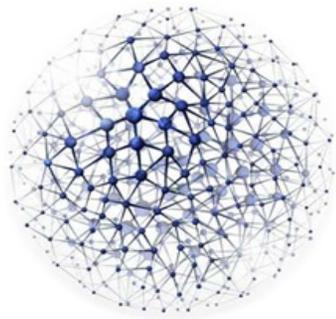


# Unfolding the secrets of phase stability at the nanoscale

(the 7 questions you should NOT ask in a final exam)

**STEFANO CURTAROLO**

*Materials Science - Duke University*



**AFLOW**  
Automatic-**FLOW** for Materials Discovery

**Duke**  
UNIVERSITY

*DOD-ONR, NSF, DOE, NIST, ISF, CRAY*

## **Question 1**

***what is melting for nano-particles ?***

# MD: Finite size: definition of $T_m$

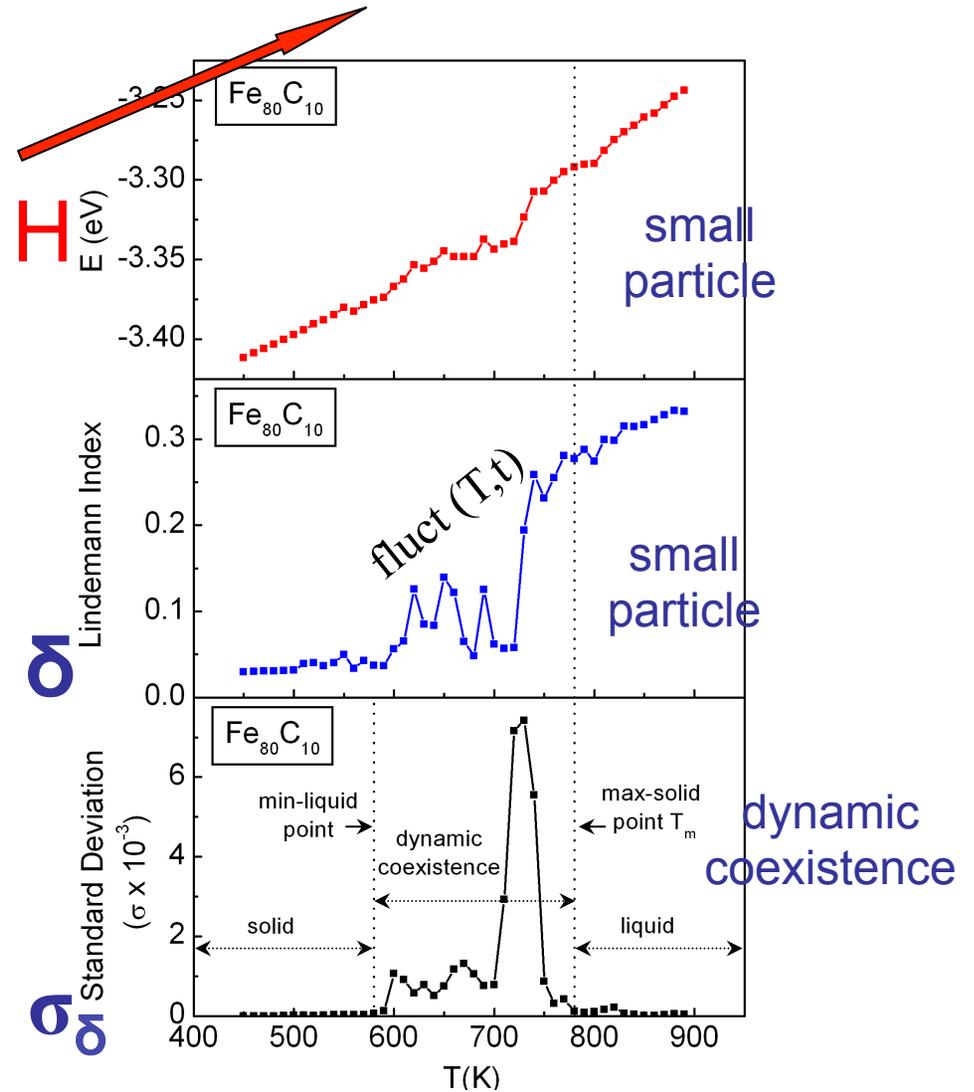
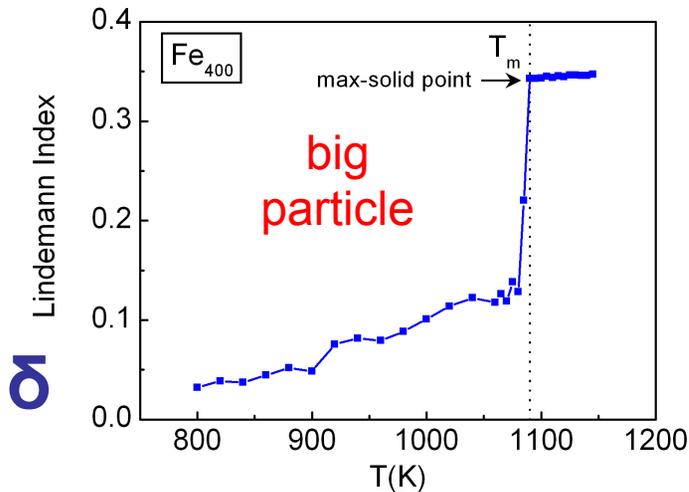
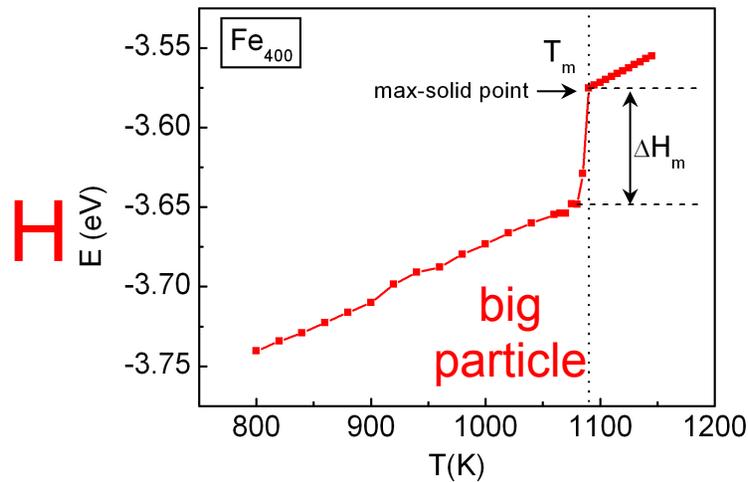
Finite size melting in  $\Delta T$ : max-solid ( $T_m$ )

Thermodynamics: caloric curve ( $\Delta H_m$ )

Order Parameter: Lindemann Index ( $\delta$ )

$$\delta \equiv \frac{2}{N(N-1)} \sum_{i < j} \frac{\sqrt{\langle r_{ij}^2 \rangle - \langle r_{ij} \rangle^2}}{\langle r_{ij} \rangle}$$

liq  $\sim 1/3$ ,  
sol  $< \sim 0.1$



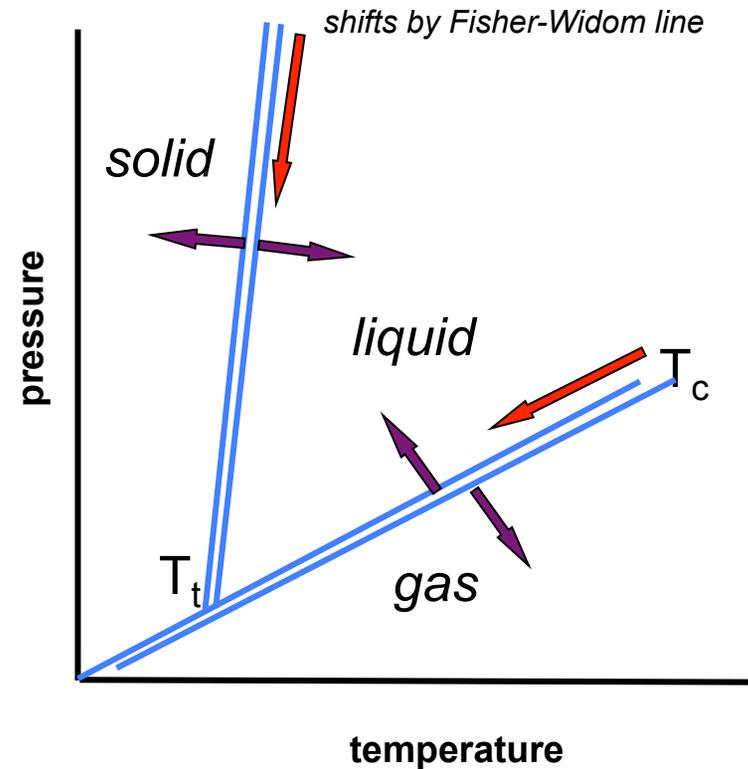
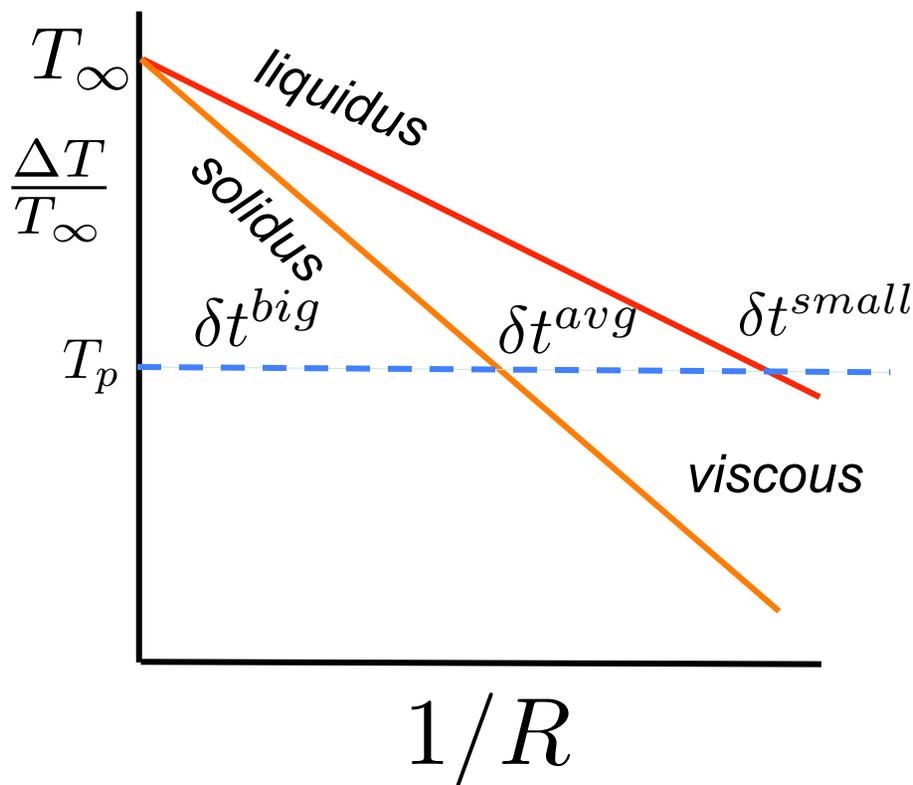
Alavi, Thompson, J. Phys. Chem. A **110**, 1518 (2006).  
Jiang *et al*, Curtarolo, Phys Rev B **75**, 205426 (2007)

# the Gibbs-Thomson tricks

$$(\delta P = 0 \rightarrow F = C - P + 1)$$

## Gibbs phase rule ( $F=C-P+2$ )

- nanoscale “shape” is another degree of freedom
- *liquidus* and *solidus* also for single component systems
- two curves



$\min(\text{sum}) \neq \text{sum}(\min) \Rightarrow F=C-P+1$  (get extra +1)

Fisher-Widom JCP 50, 3756 (1969)

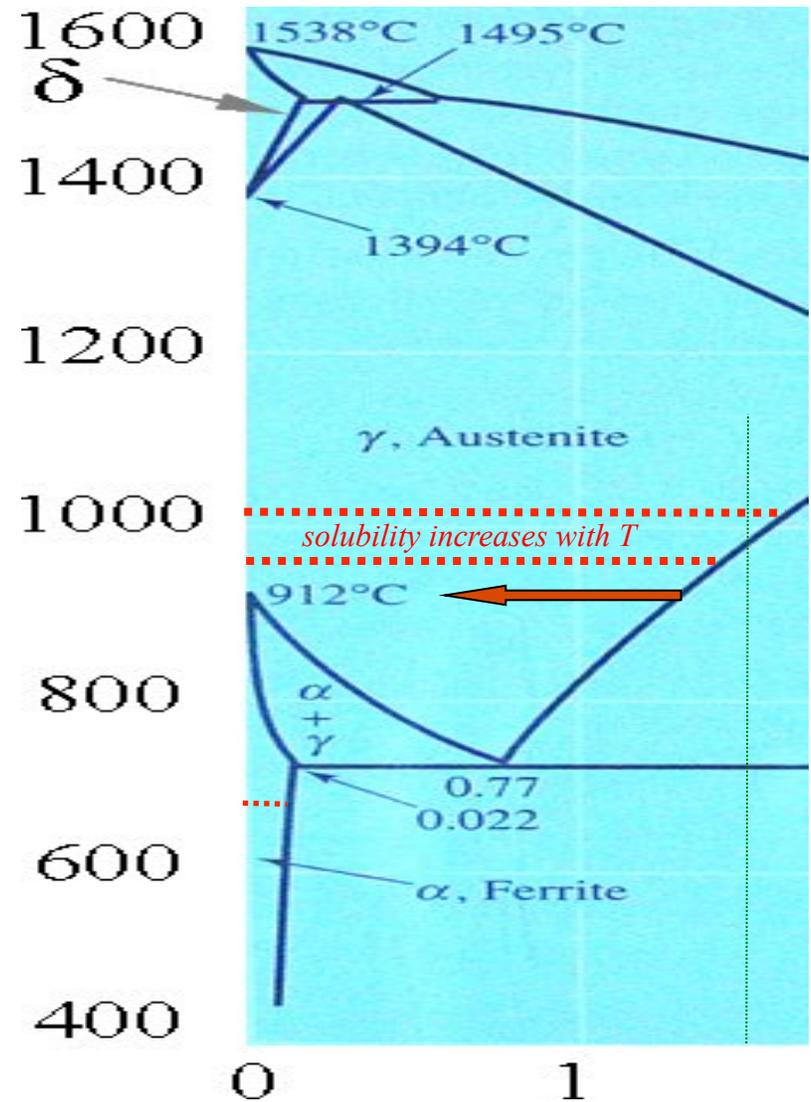
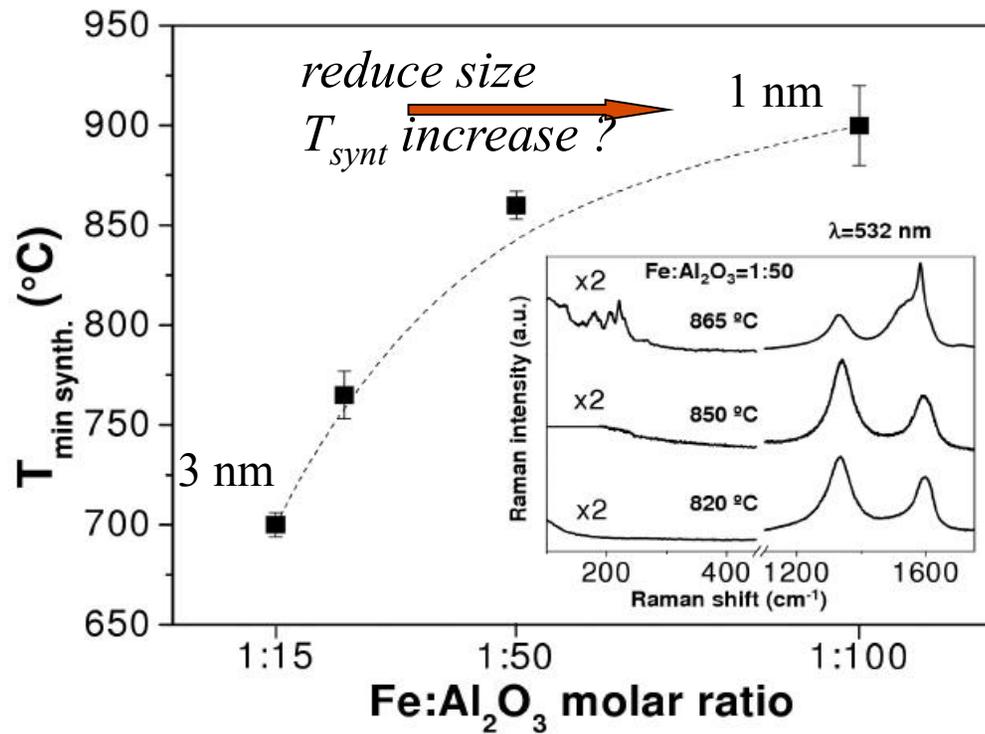
## Question 2

***discuss an effect of phase boundaries  
movements at the nanoscale***

**HINT: catalysis of nanotubes**

# GIBBS-THOMSON paradox: PRL100, 195502

MIN synthesis  $T_{\text{min-synth}}$  temperature of CVD nanotubes increases



Phys. Rev. Lett. **100**, 195502 (2008)

# QM-Model: assumptions

Interplay of 3 phases competing for stability  
active phase, poisoning phase and product.

They have energy which varies with size of particle,  
and temperature populates them differently!

## THERMODYNAMICS

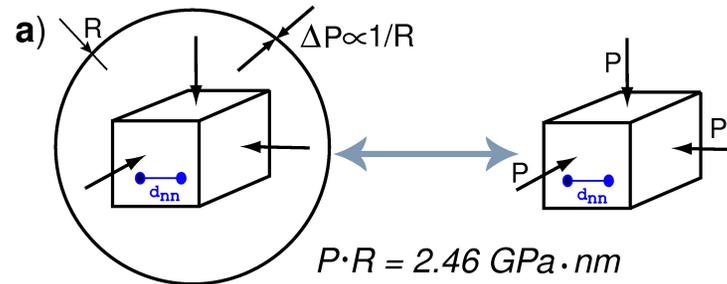
Free Energy = Bulk Energy + Surface Energy ( $\sigma$ ) + Surface Tension ( $\gamma$ )

$$F = \sim \int f d^3r + \sim \int \sigma d^2r + \sim \int \gamma / \langle R \rangle d^3r$$

Stability of various competing phases as a function of size  
(size-pressure approx. similar to Young-Laplace equation)

# "Size-pressure approximation"

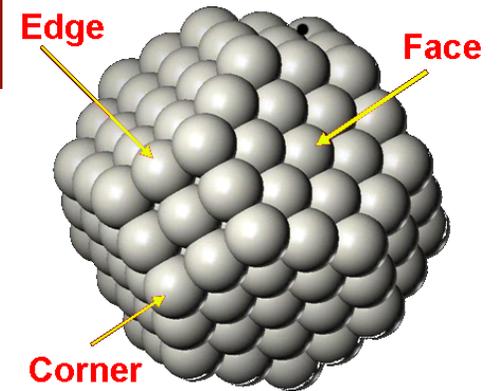
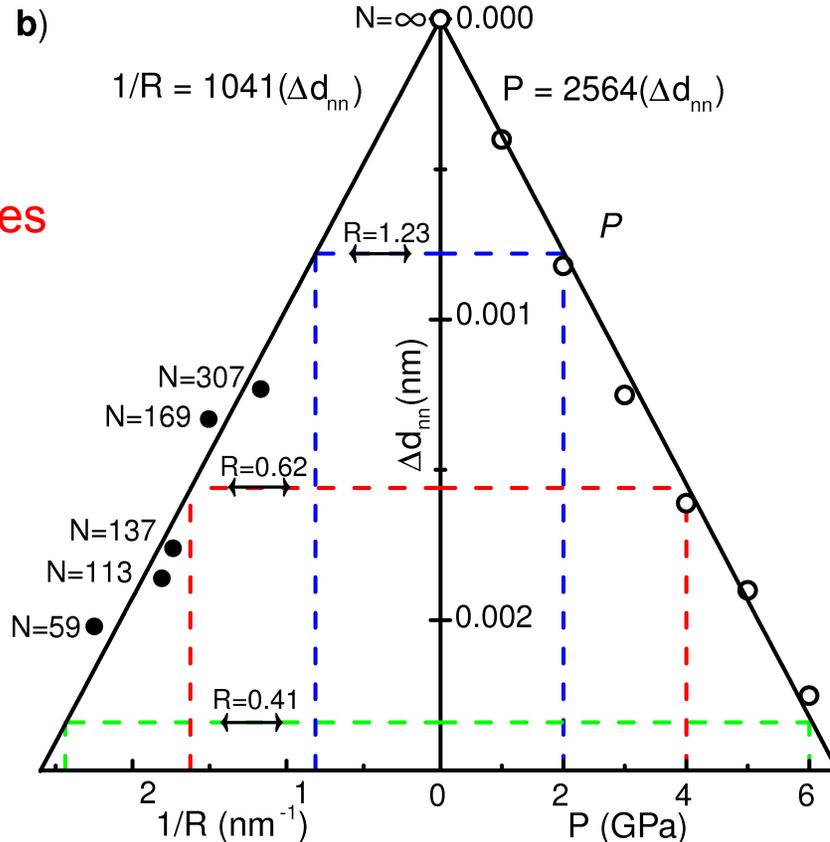
"Young-Laplace" equation  
 $P = 2\gamma/R$



$$P \cdot R = 2.46 \text{ GPa} \cdot \text{nm}$$

$\langle R \rangle = \text{avg}(R)$  **no**  
 $\langle 1/R \rangle = \text{avg}(1/R)$  **yes**

$$\frac{1}{R} \equiv \frac{1}{N_s} \sum_i^{N_s} \frac{1}{R_i}$$



Phase diagram  
 for spherical  
 nanoparticles of  
 radius R

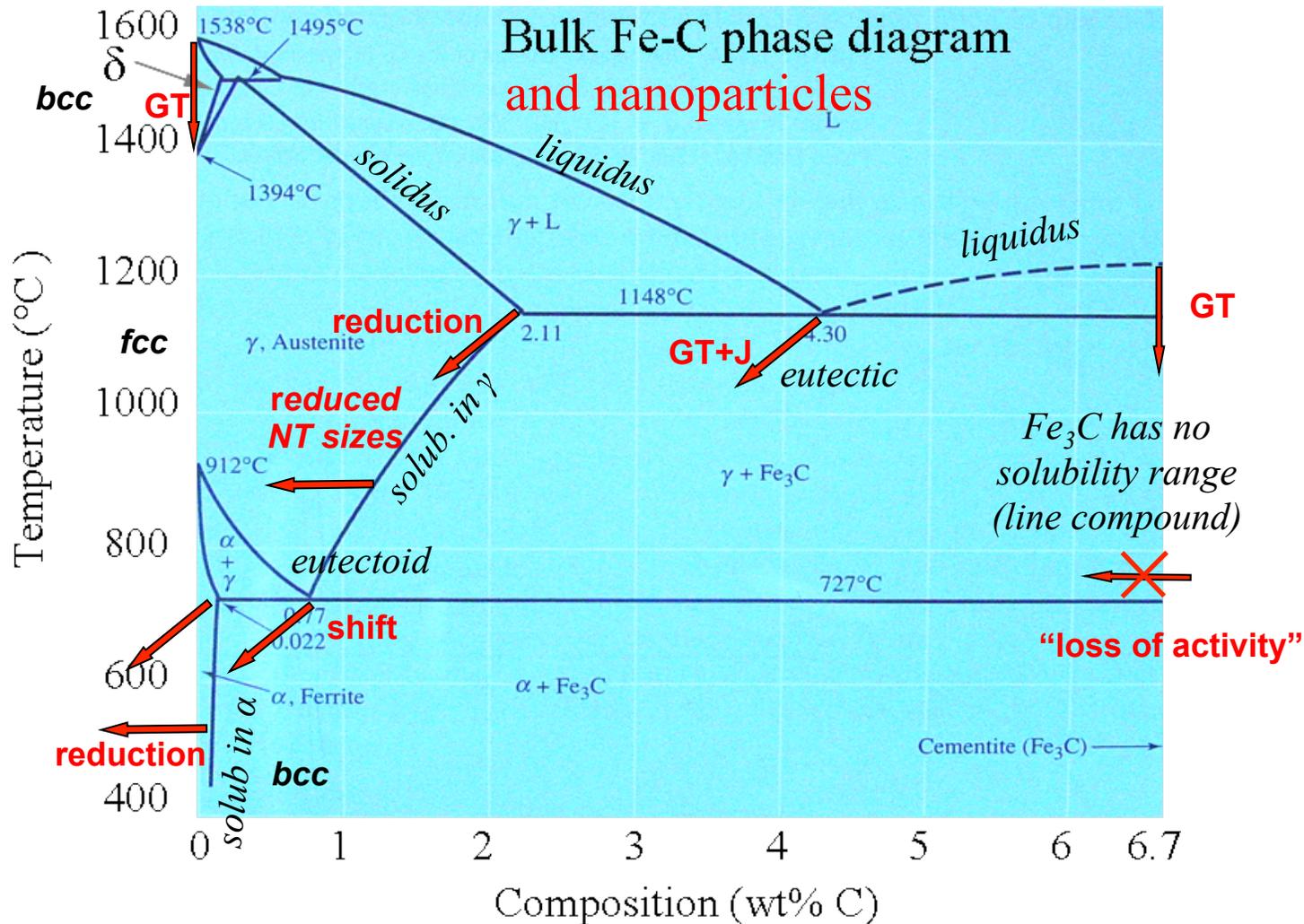


Phase diagram  
 for bulk system  
 at corresponding  
 hydrostatic  
 pressure P

"Size-Pressure approximation"  $\gamma = 1.23 \text{ J/m}^2$ . True YL liquid Fe is  $\gamma \sim 1.85 \text{ J/m}^2$

[J. Campbell Smithells' Metals Reference Booked, Kim et al., J. Mat. Res. 21 (6) 1399 (2006) DOI: 10.1557/JMR.2006.0171]

# Reducing Size: Gibbs-Thomson Equation



To explain shift of eutectic, there **must** be reduction of solubility !

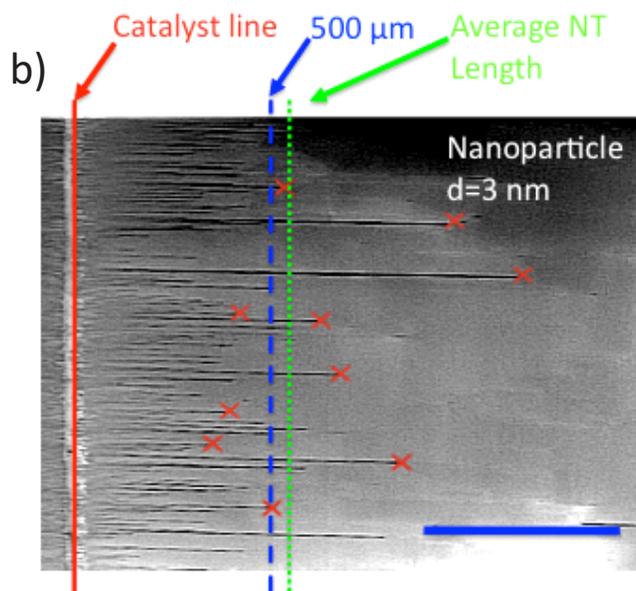
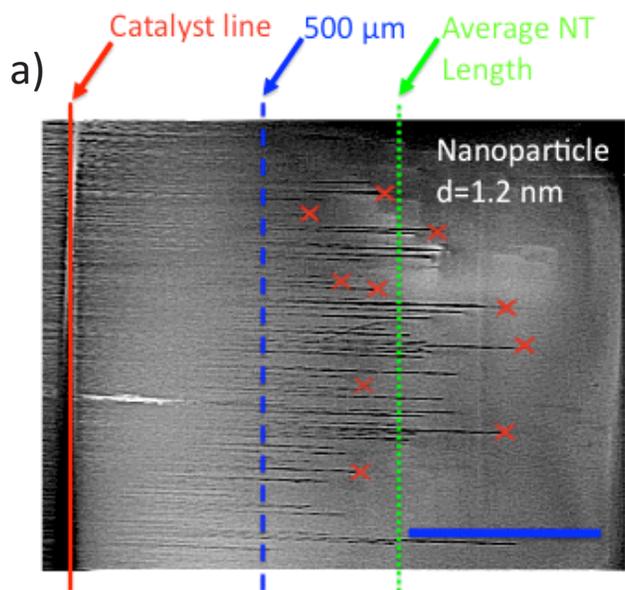
Jiang, *et al.*, **SC**, PRB **75**, 205426 (2007)

**SC et al.**, Physics Procedia, **6**, 16-26 (2010)

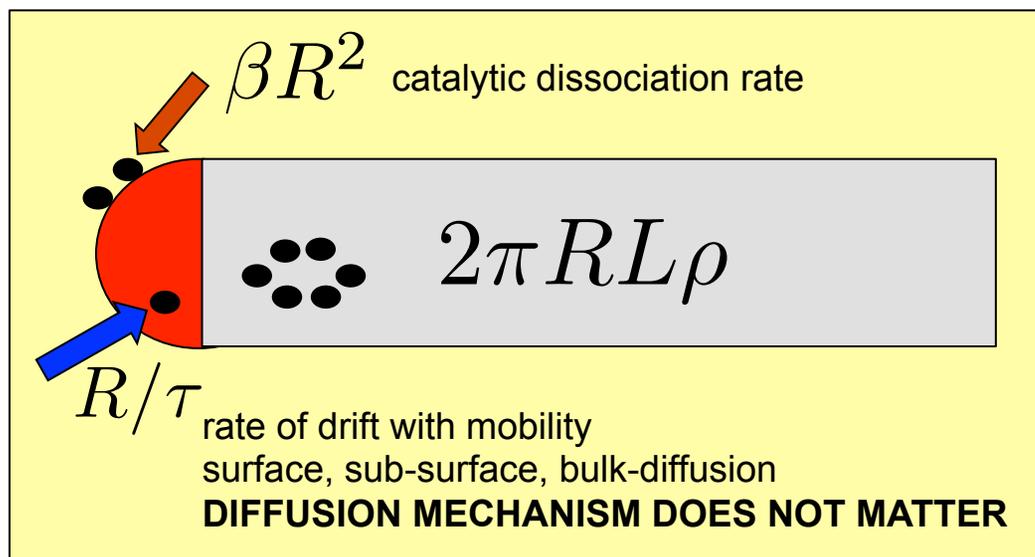
## **Question 3**

***is loss of ergodicity good or bad ?***

# Check this out: small particle => transforms faster ?



Fix time: snapshot



## time-constant ratios

$$\frac{\tau_1}{\tau_2} = \left( \frac{R_1^2}{L_1} \right) \left( \frac{L_2}{R_2^2} \right)$$

## viscous state effect

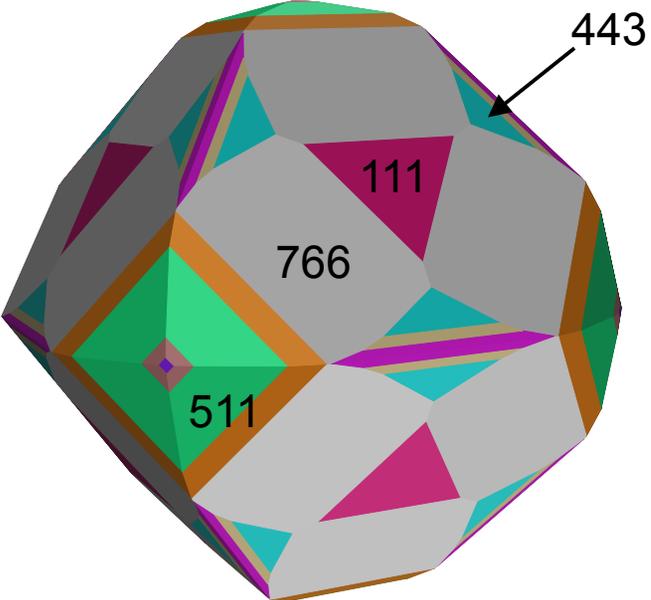
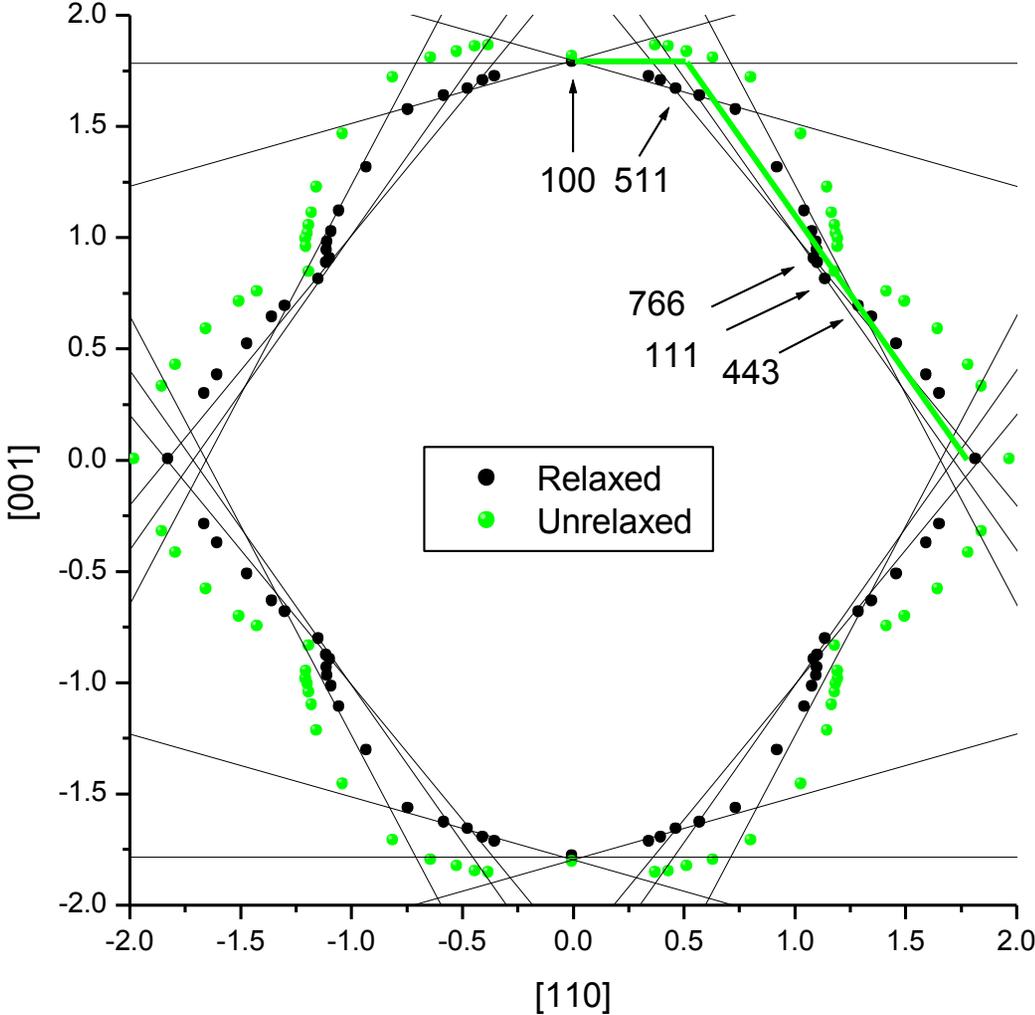
$$\frac{1}{\tau_1} > \frac{1}{\tau_2} > \frac{1}{\tau_3}$$

## Question 4

***Can you extend the Wulff construction to negative surface tensions?***

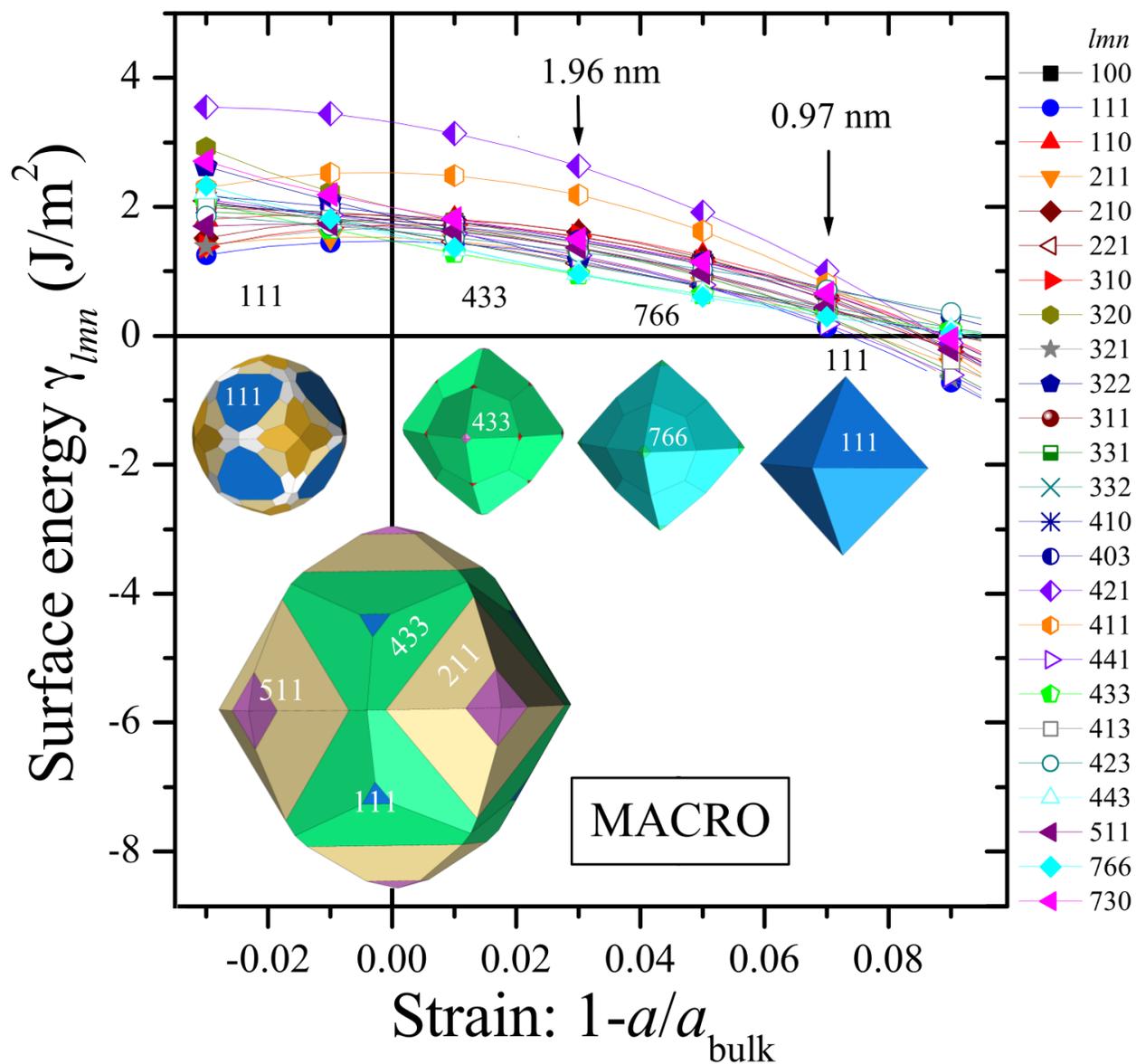
# Wulff construction

(110) cross section of Wulff's plot

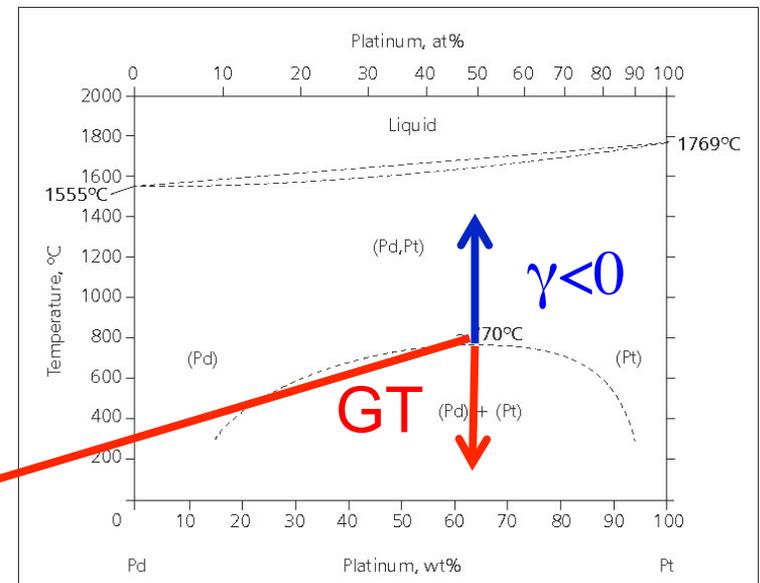
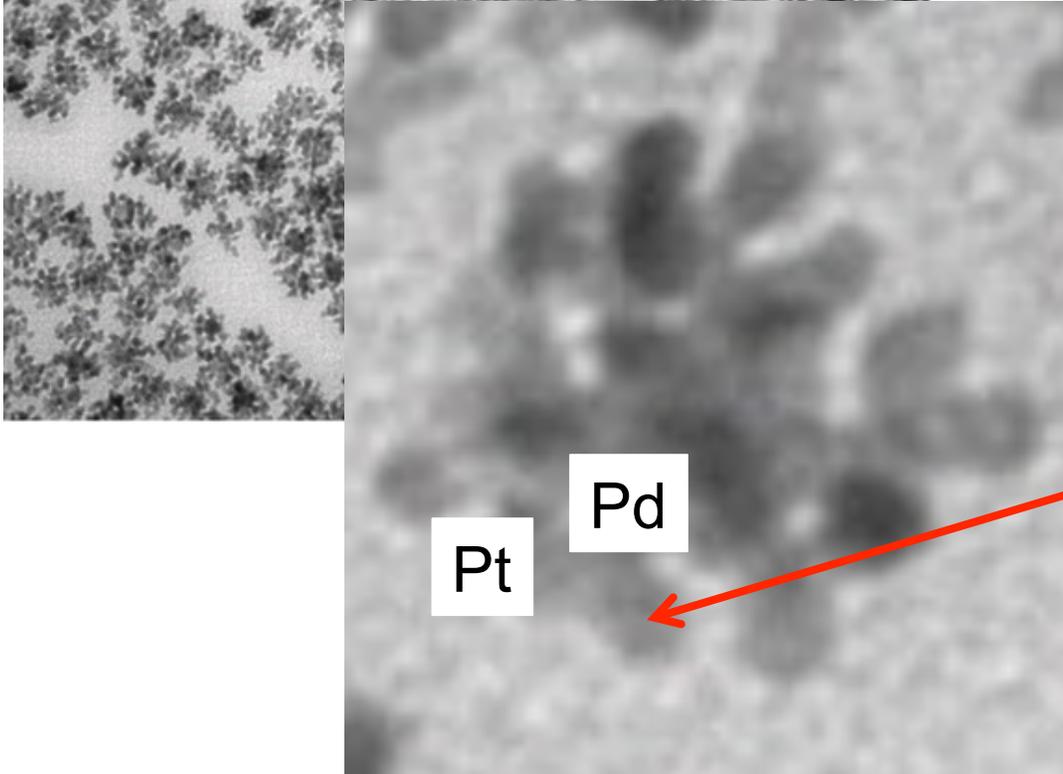
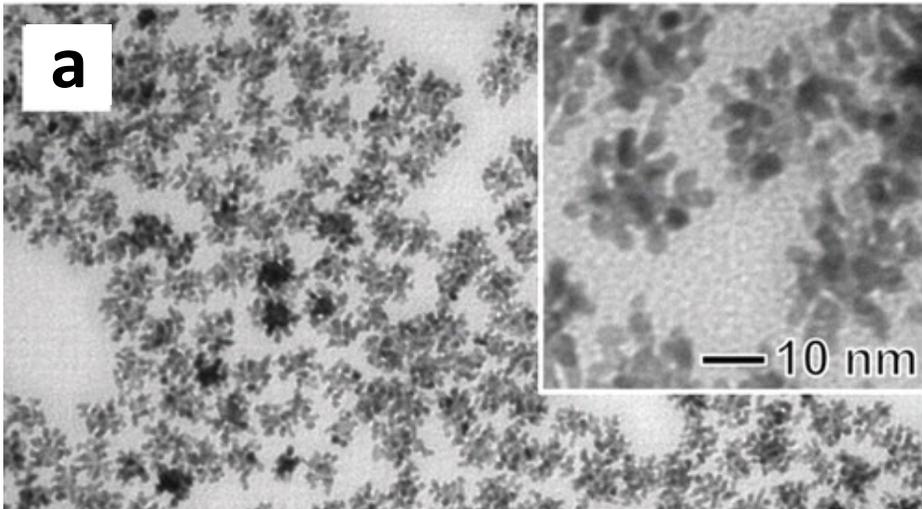


surf tension is the key quantity for very small nano-crystals.

Six common shapes with (100) and (111) facets:  
 Peng, Yang, Nano Today **4**, 143 (2009)  
 Tetrahexahedron with (730) facets: Tian et al., Science **316**, 732 (2007)



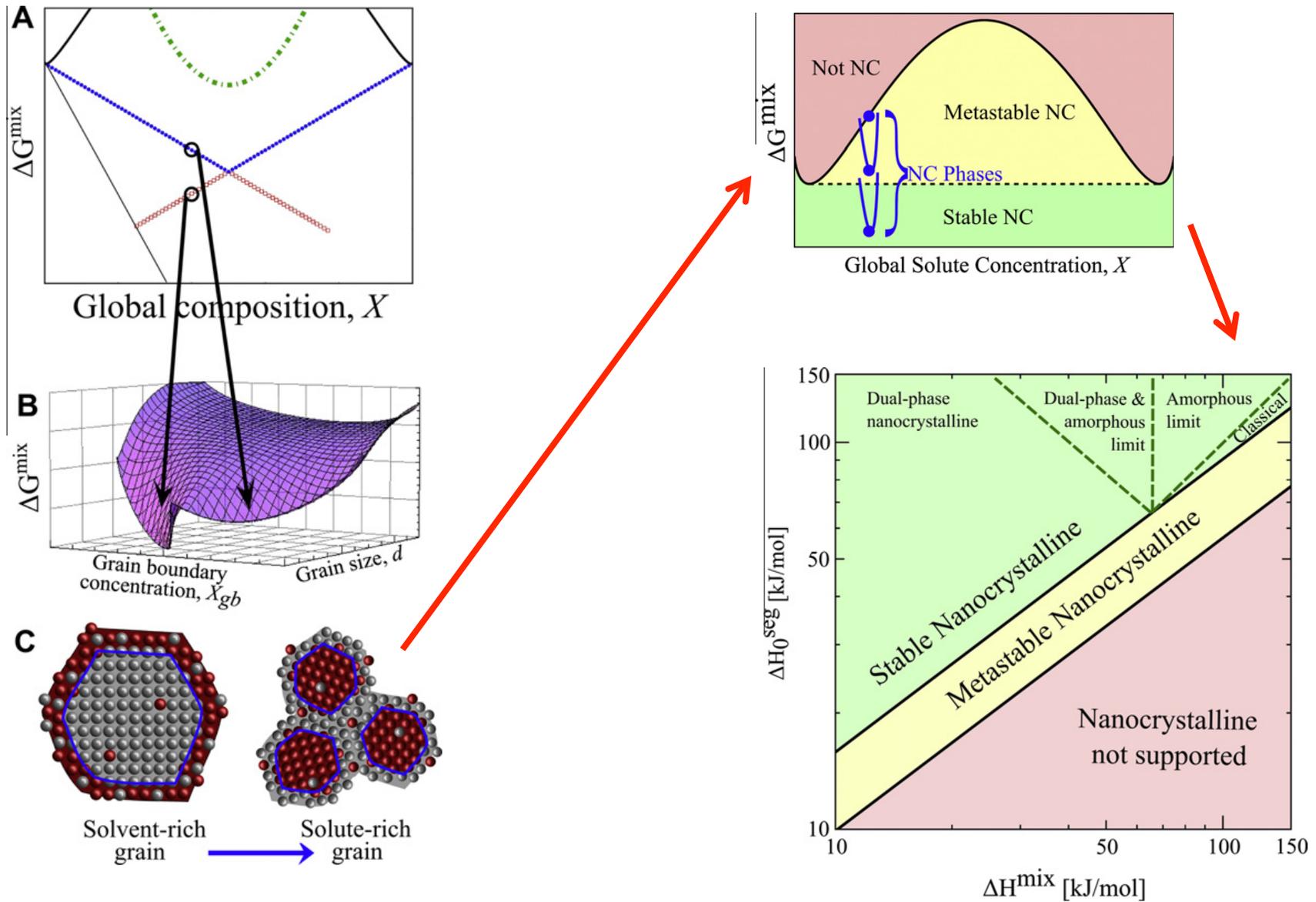
# Oxygen reduction reaction on Pt surfaces, rate-determining step in a proton-exchange membrane fuel cell



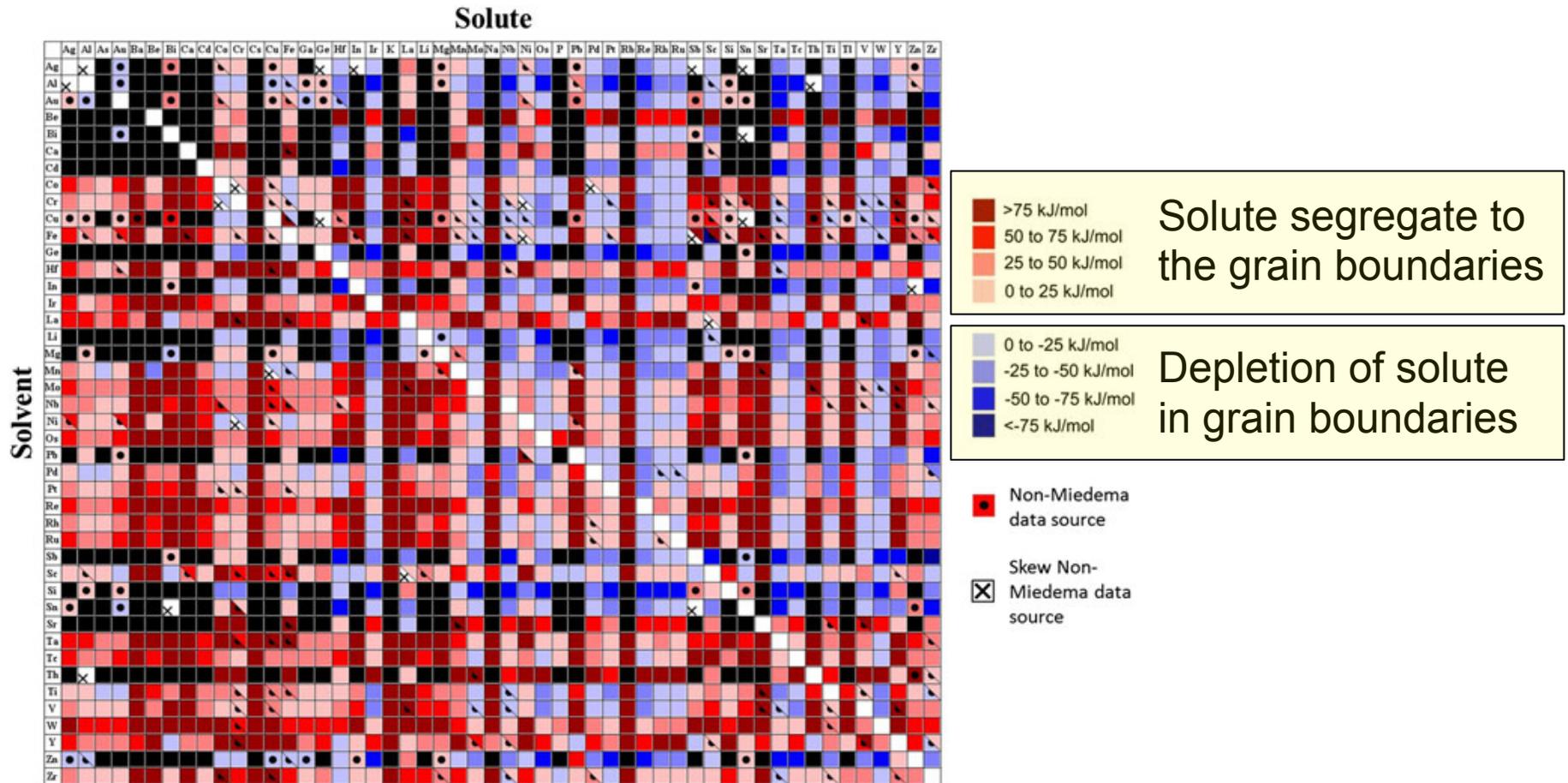
## **Question 5**

***Can you control coarsening at the nanoscale ?***

# Control coarsening in nanograins by alloying

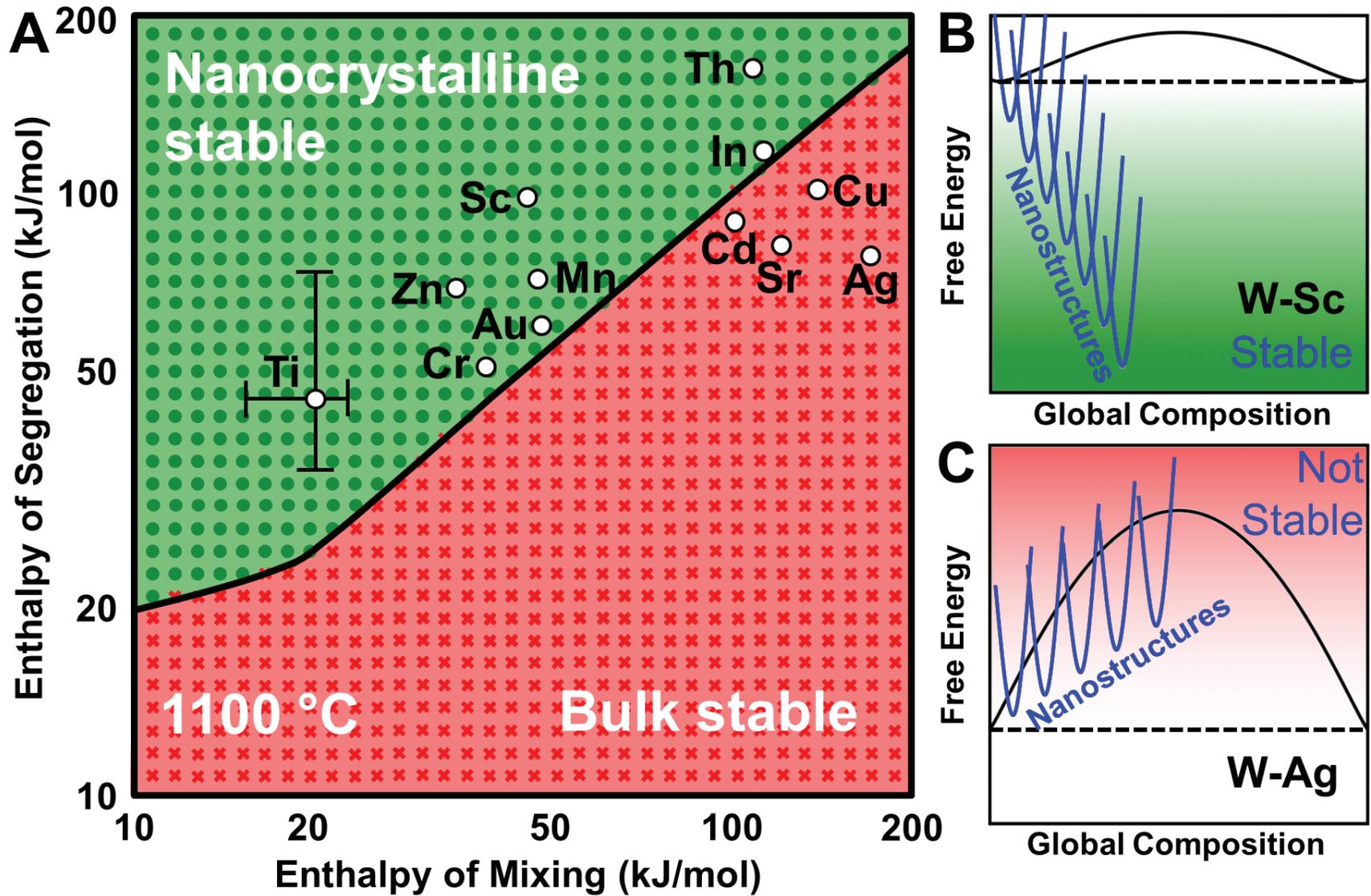


# Segregation enthalpies for binary systems (estimation)



Phenomenological, Miedema and so on.

# Let's see tungsten+something

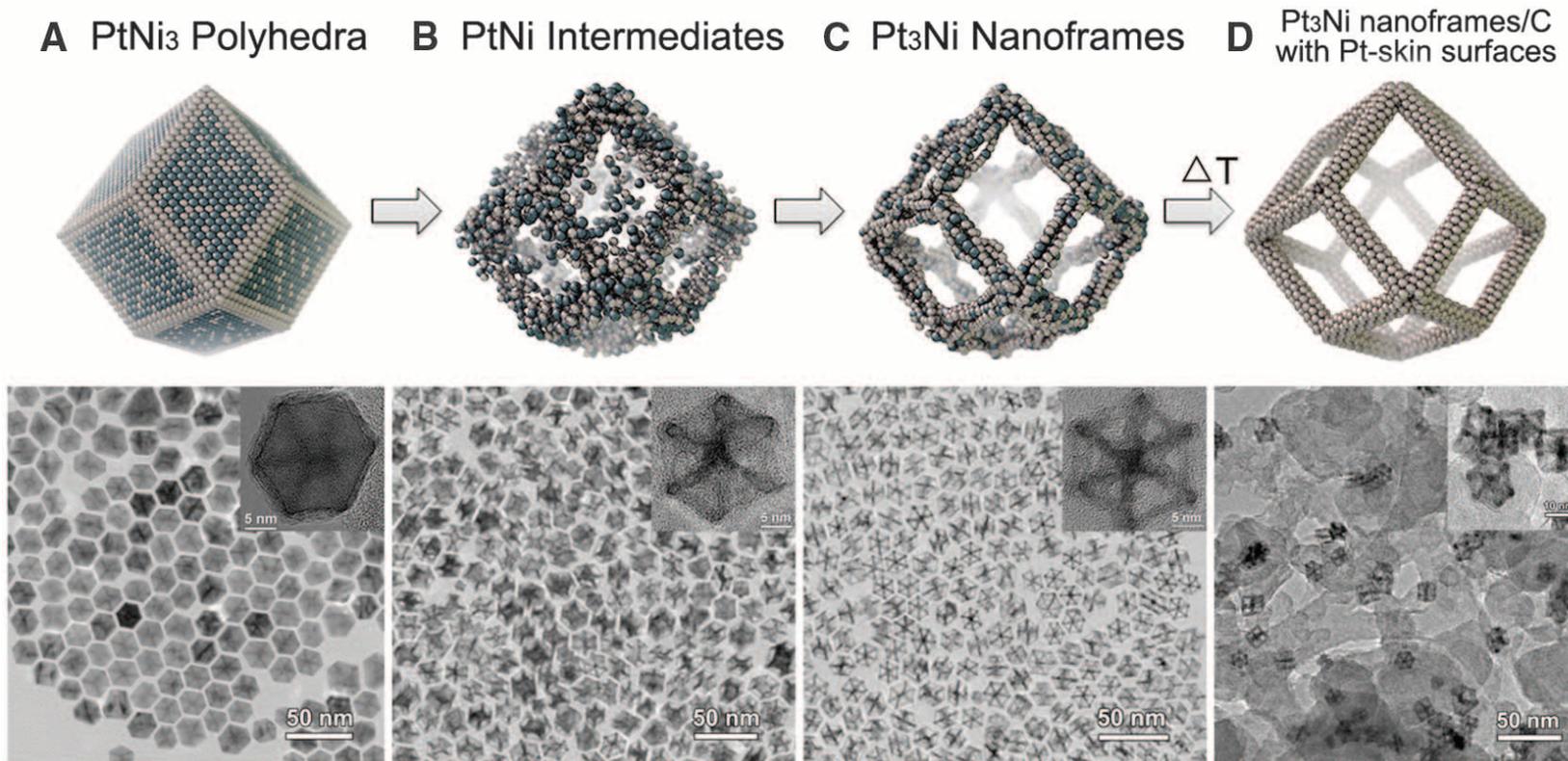


Chookajorn, Murdoch, Schuh, *Design of stable nanocrystalline alloys*. Science **337**, 951 (2012)

## Question 6

***What supports nanoframes ?***

# We like internal erosion



**for OXYGEN REDUCTION REACTION**  
nanoframe catalysts = 36 mass activity,  
22 specific activity, versus Pt-carbon catalyst

## Question 7

***Can you find thermodynamic data on  
GOOGLE-nano-materials ?***

# Consortium of QM calculations



**AFLOW**  
Automatic-FLOW for Materials Discovery

|AUID#, ICSD#, element combos... × **Search**  
(622633 Compounds)

**AFLOW**  
CONSORTIUM

**Apps & Docs**

**Advanced Search**

**AFLOW CONSORTIUM**

- Duke University** Curtarolo Group
- UNT UNIVERSITY OF NORTH TEXAS** Buongiorno Nardelli Group
- UNIVERSITY OF MARYLAND** Takeuchi Group
- BINGHAMTON UNIVERSITY STATE UNIVERSITY OF NEW YORK** Kolmogorov Group
- UC San Diego** Yang Group
- BYU** Hart Group
- CMU CENTRAL MICHIGAN UNIVERSITY** Fornari Group

# Consortium of QM calculations



Search Aflowlib ×

**icsd** | **elements** | **binaries** | **Heuslers**

Search  
 (47132 Compounds)

41 **Niobium**  
 [Kr] 5s<sup>1</sup> 4d<sup>4</sup>  
 ρ: 8.4 T<sub>M</sub> (K): 2741  
 a: 3.3 Å Crystal: BCC  
 Debye (K): 275

and not or xor ( ) Right Click for Wikipedia Link

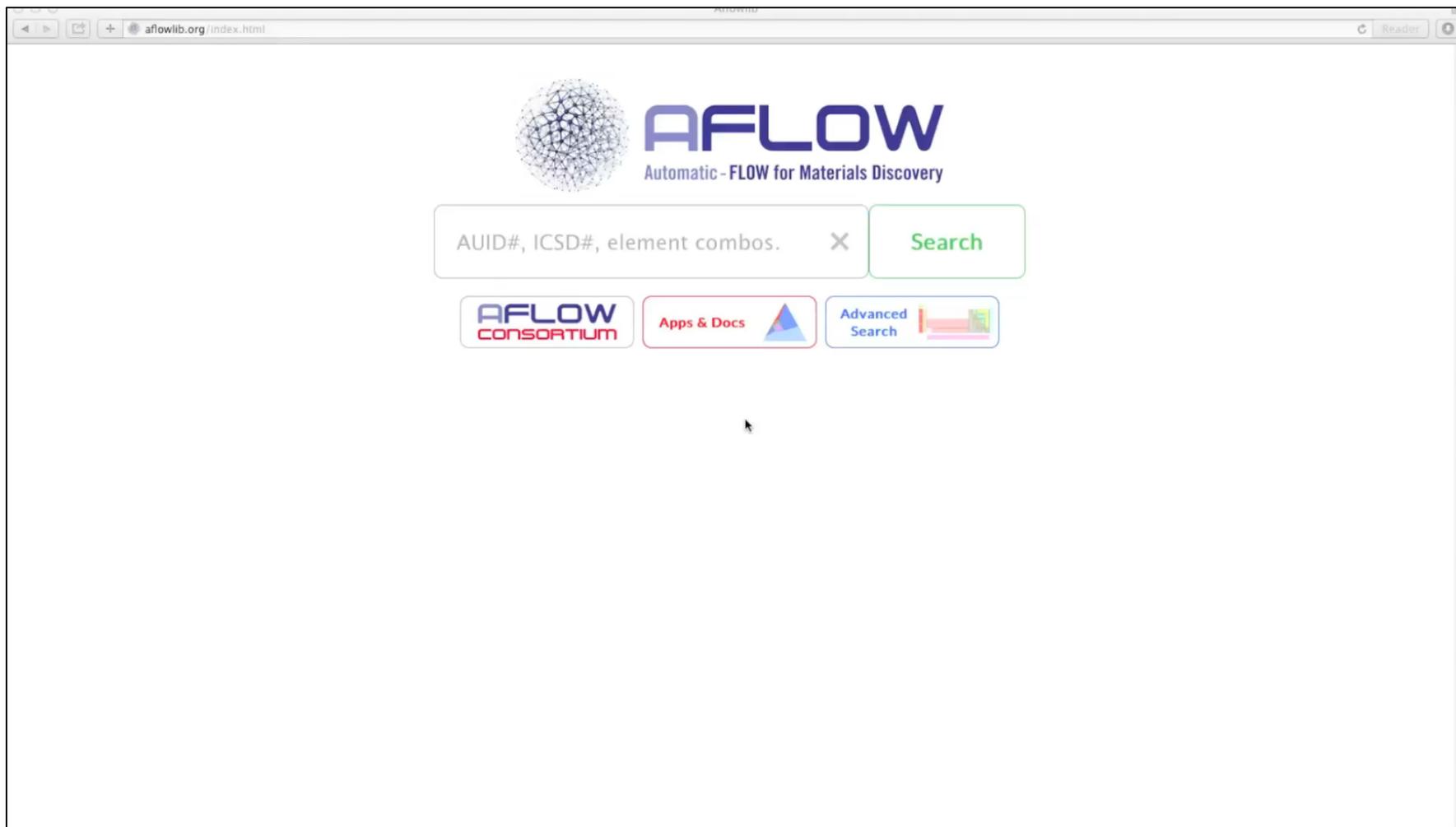
1A	2A											3A	4A	5A	6A	7A	NOBLE	
H	Li	Be											B	C	N	O	F	Ne
Na	Mg	3B	4B	5B	6B	7B	← 8 →	1B	2B	Al	Si	P	S	Cl	Ar			
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr	
Rb	Sr	Y	Zr	<b>Nb</b>	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe	
Cs	Ba	La-Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	
		La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu		

Show 40 results per table; limit to 1000 total results.

All Metals	Alkali Metals	Alkaline Earths	Transition Metals	Lanthanides	Other Metals
Nonmetals	Group 3A	Group 4A	Group 5A	Chalcogens	Halogens

- Chemistry
- Crystal
- Electronics
- Thermodynamics
- Magnetics
- Scintillation
- Mechanical
- Calculation

# Example of QM calculation



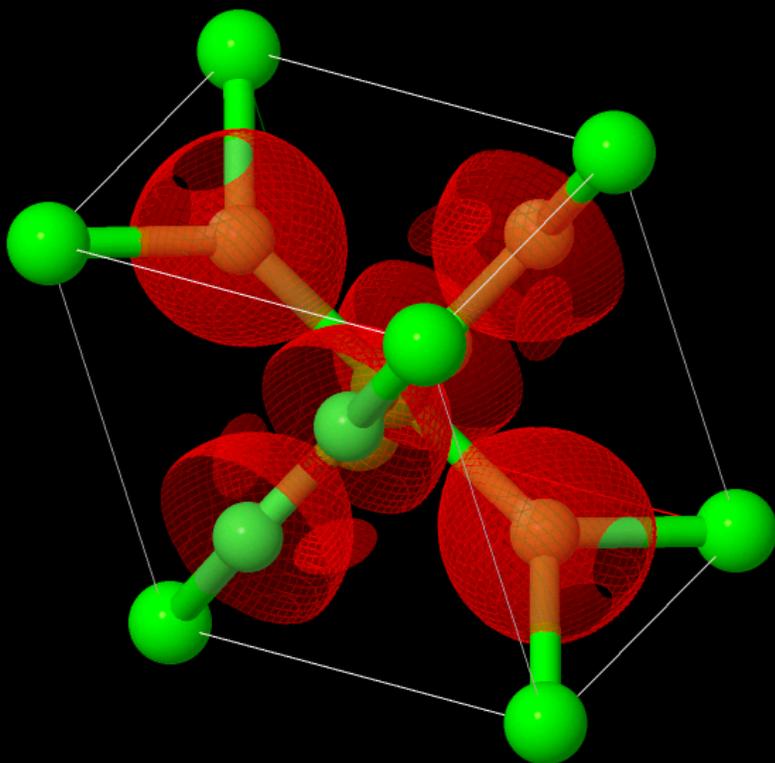
# See where charge is



Space Group: P4<sub>2</sub>/mnm (#136)

AFLOWLIB-JSmol consortium (aflow v30963)  
entry=F2Mg1\_ICSD\_16638

Spacegroup = P4<sub>2</sub>/mnm (#136)  
a=4.688Å, b=4.688Å, c=3.094Å  
α=90.000°, β=90.000°, γ=90.000°



### Visualizer options:

Ball & Stick	Spacefill
Rotation On	Rotation Off
Label On	Label Off

### Relaxed structure:

As calculated
Standard conventional <a href="#">[info]</a>
Standard primitive <a href="#">[info]</a>

### Supercell:

2x2x2	RESET
-------	-------

### Bader Isosurfaces:

Cutoff = 0.25

<input type="checkbox"/> F	<input type="checkbox"/> Mg	<input type="checkbox"/> All
----------------------------	-----------------------------	------------------------------

Cutoff = 0.50

<input type="checkbox"/> F	<input type="checkbox"/> Mg	<input type="checkbox"/> All
----------------------------	-----------------------------	------------------------------

Cutoff = 0.75

<input type="checkbox"/> F	<input type="checkbox"/> Mg	<input type="checkbox"/> All
----------------------------	-----------------------------	------------------------------

### Save:

Save CIF FILE	Save STATE
Save JPG	Save PNG
Save Jmol	Save PNG+Jmol



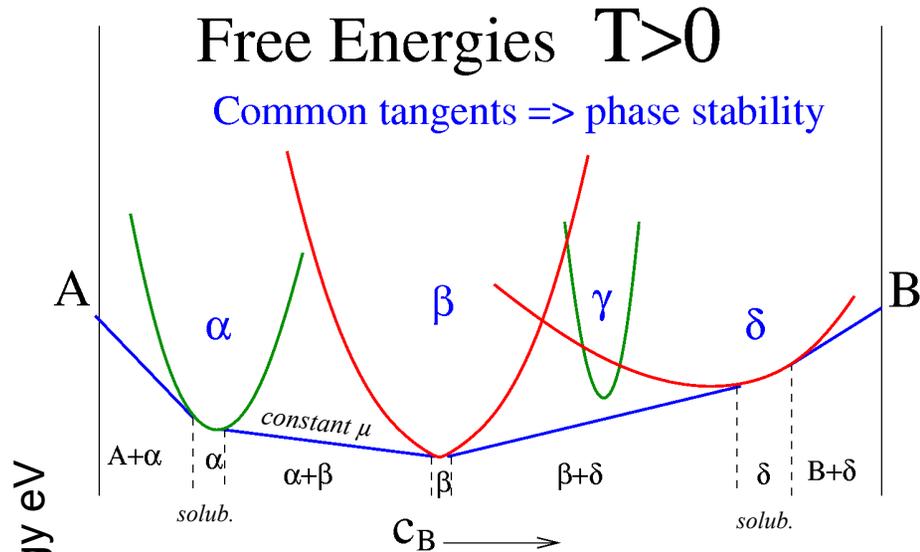
**NANO is going  
CLOUD**

**[afloplib.org](http://afloplib.org)**

 <b>Duke</b> UNIVERSITY	<b>Curtarolo</b> Group		 <b>UNT</b> UNIVERSITY OF NORTH TEXAS	<b>Buongiorno</b> Nardelli Group	
 <b>UNIVERSITY OF</b> MARYLAND	<b>Takeuchi</b> Group		<b>BINGHAMTON</b> UNIVERSITY STATE UNIVERSITY OF NEW YORK	<b>Kolmogorov</b> Group	
<b>UC San Diego</b>	<b>Yang</b> Group		 <b>BYU</b> FOUNDED 1875 BRIGHAM YOUNG UNIVERSITY PROVO, UTAH	<b>Hart</b> Group	
<b>CMU</b> CENTRAL MICHIGAN UNIVERSITY	<b>Fornari</b> Group		<b>ST·OLAF</b> COLLEGE	<b>Hanson</b> Group	

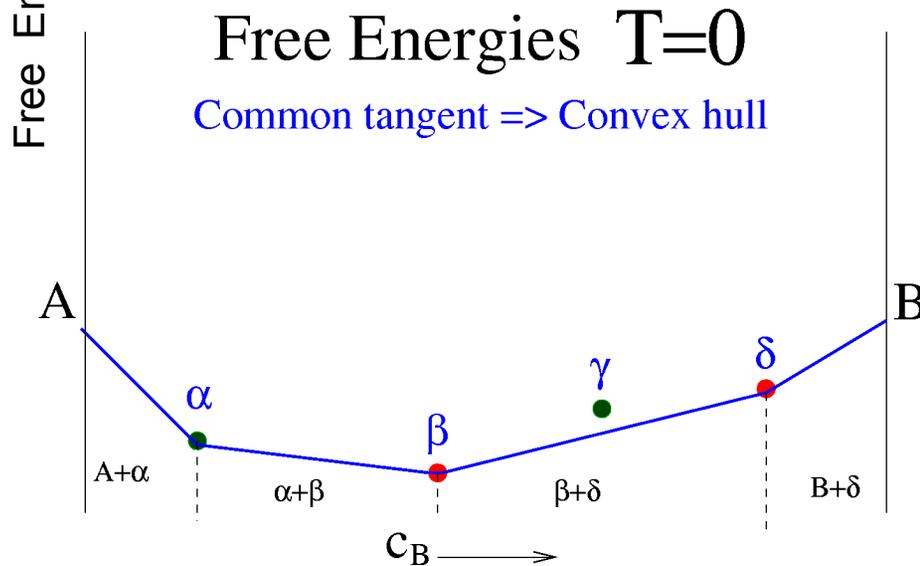
**FINISHED**

# Materials Thermodynamics: Phase stability



- $dE = -pdV + TdS + \mu dX$
  - $G = E + pV - TS$  (for  $p, T, X$  variables)
  - $dG = Vdp - SdT + \mu dX$
- eq:  $\mu = dG/dX$  (const  $p, T$ )

$T > 0$ : phase's free energies are "parabolas" because of configuration entropy: they allow out of stoichiometric composition.



$T = 0$ : phase's free energies are "energy points" ( $F = E - TS = E$ ), points are minima of the "parabolas"  $\Rightarrow$  good approximation.

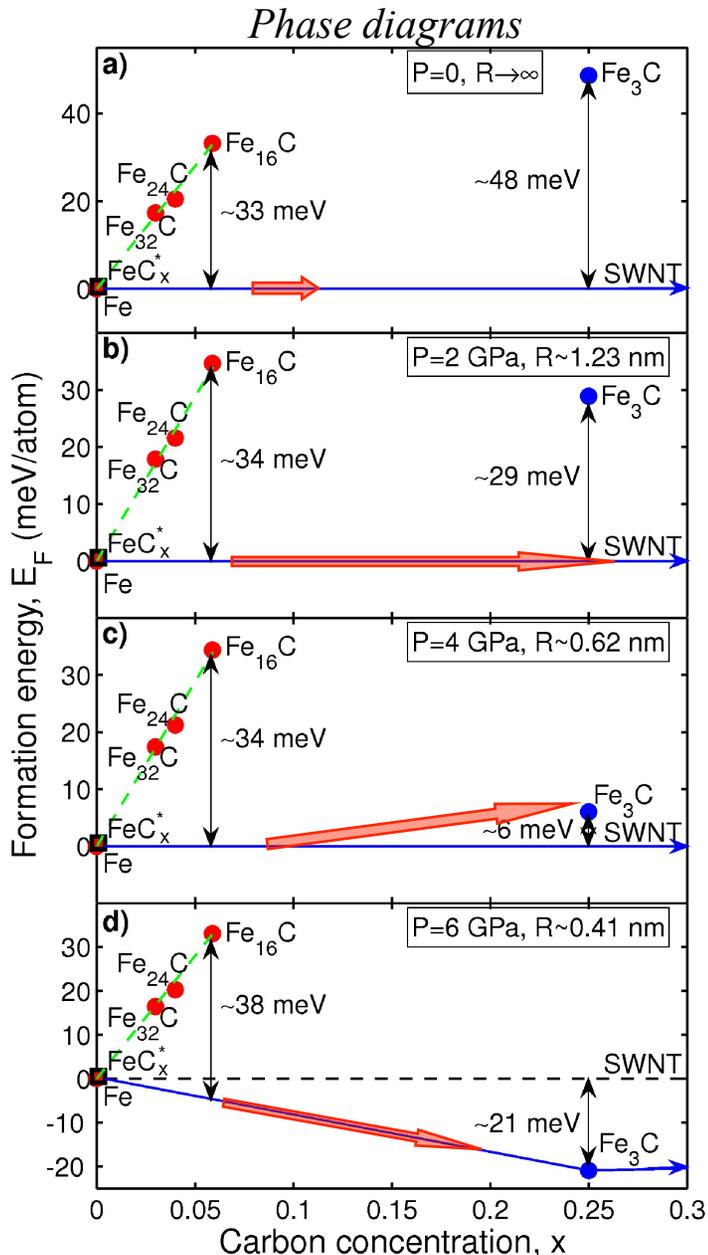
$T > 0$ : need  $S$

big: configurational  $\sim -k[x \log x + (1-x) \log(1-x)]$

small: vibrational (gas of phonons) & electrons

# Reduced solubility caused by stabilization of $\text{Fe}_3\text{C}$

Phys. Rev. Lett. **100**, 195502 (2008)



## Bulk

- C prefers to dissolve in Fe-rich environment
- $\text{Fe}_3\text{C}$  unstable

$R \sim 1.4 \text{ nm}, D \sim 2.8 \text{ nm}$

- Less C dissolves in Fe to form random Fe-C
- $\text{Fe}_3\text{C}$  unstable with high energy, never forms
- **STEADY STATE IS POSSIBLE** for big tubes !

$R \sim 0.7 \text{ nm}, D \sim 1.4 \text{ nm}$

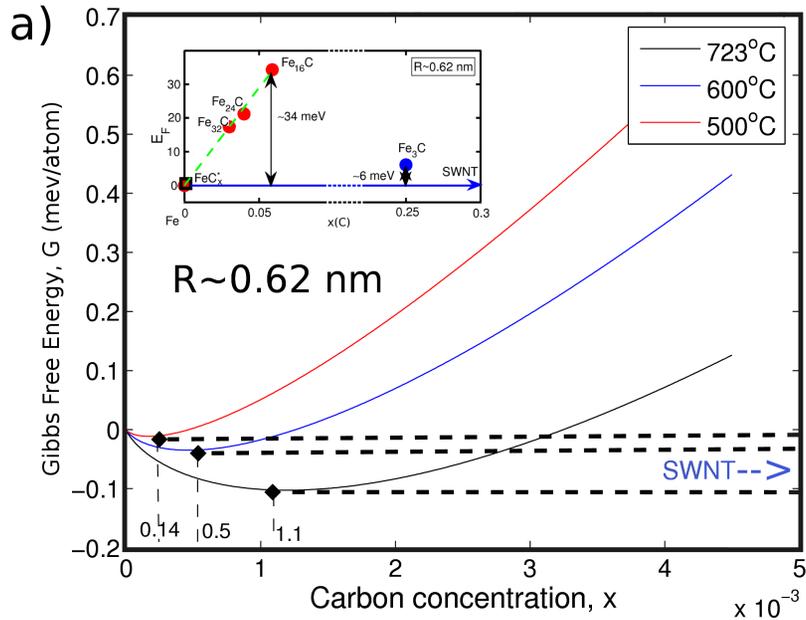
- Lesser C dissolves to form random Fe-C
- $\text{Fe}_3\text{C}$  unstable with low energy, will form with T and saturation of C.
- **STEADY STATE IMPOSSIBLE**, SWNTs will be finite.

$R \sim 0.5 \text{ nm}, D \sim 1.0 \text{ nm}$

- $\text{Fe}_3\text{C}$  is stable
- No production, just nucleation of  $\text{Fe}_3\text{C}$ .

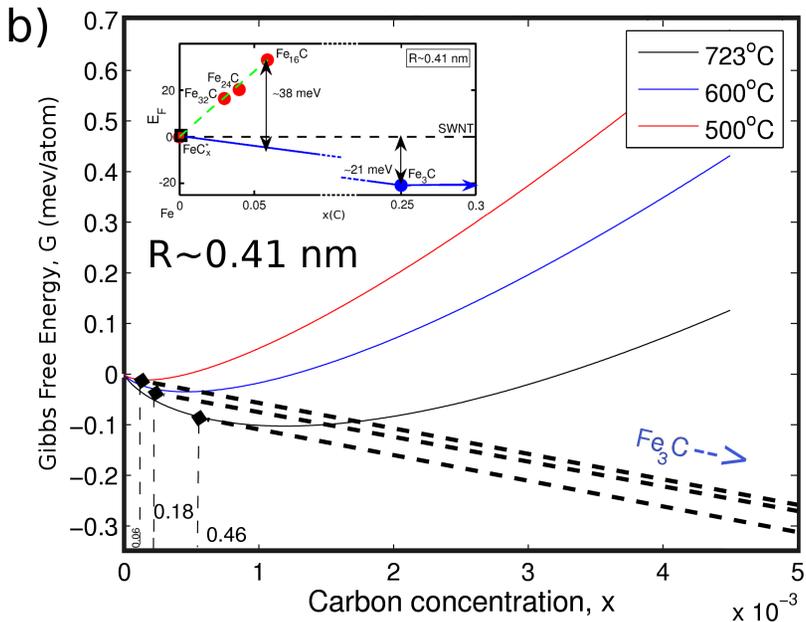
**SWNTs with  $D < 1.2 \text{ nm}$  CAN NOT be grown with Fe and CVD**

# Real calculation: thermodynamics

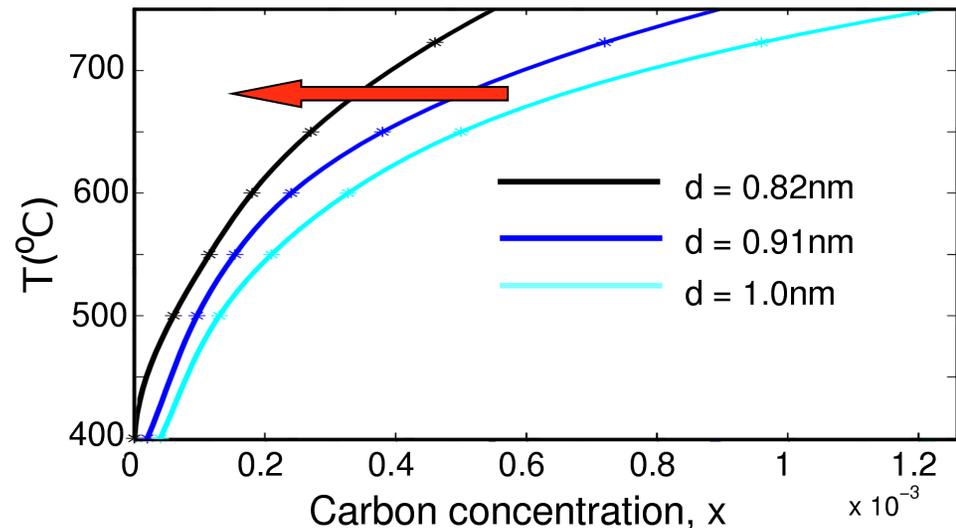


$dE = -pdV + TdS + \mu dX$   
 Gibbs :  $G = E + pV - TS$  (for p, T, X variables)  
 $dG = Vdp - SdT + \mu dX$   
 eq:  $\mu = dG/dX$  (const p, T) *tangents*

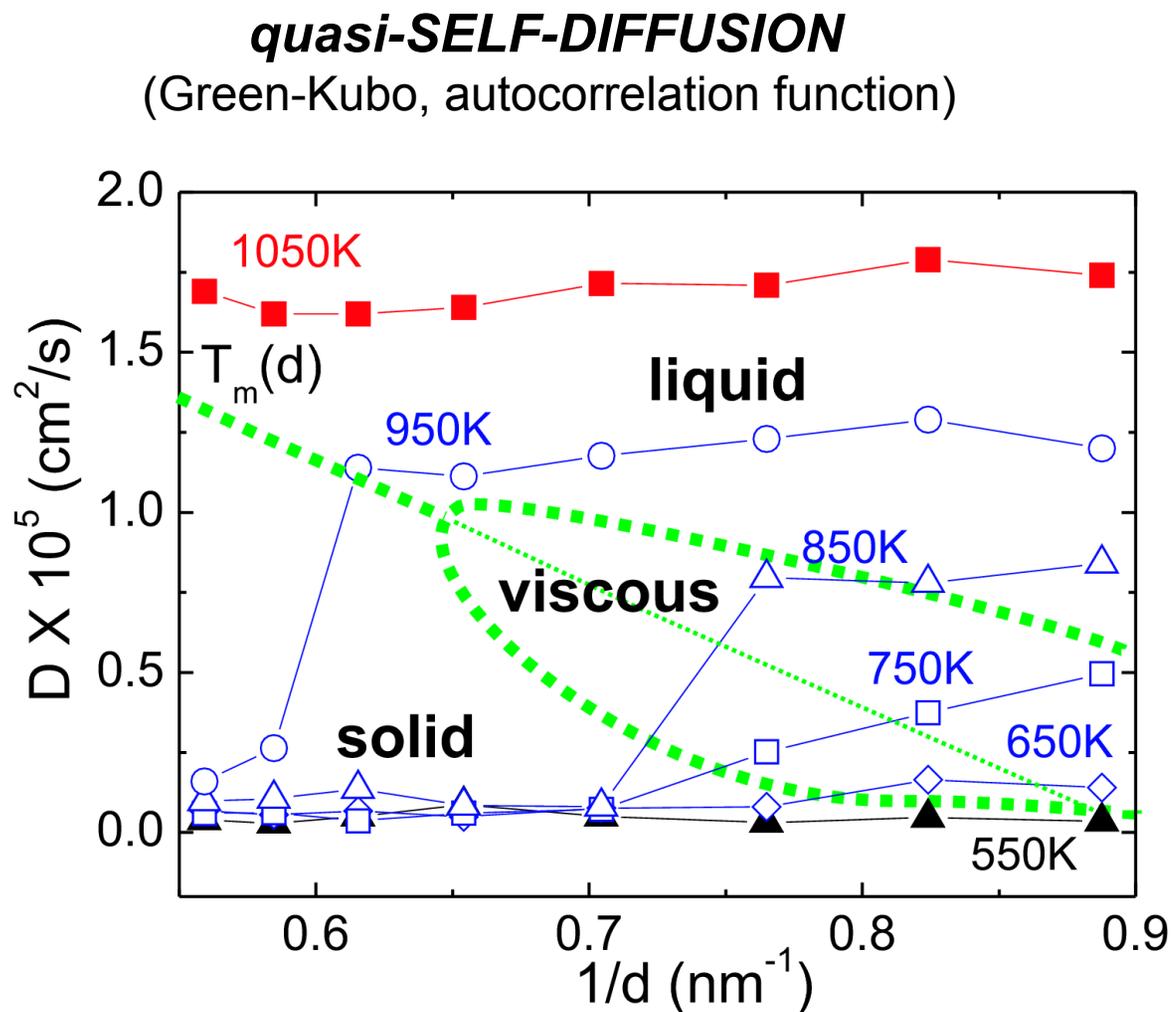
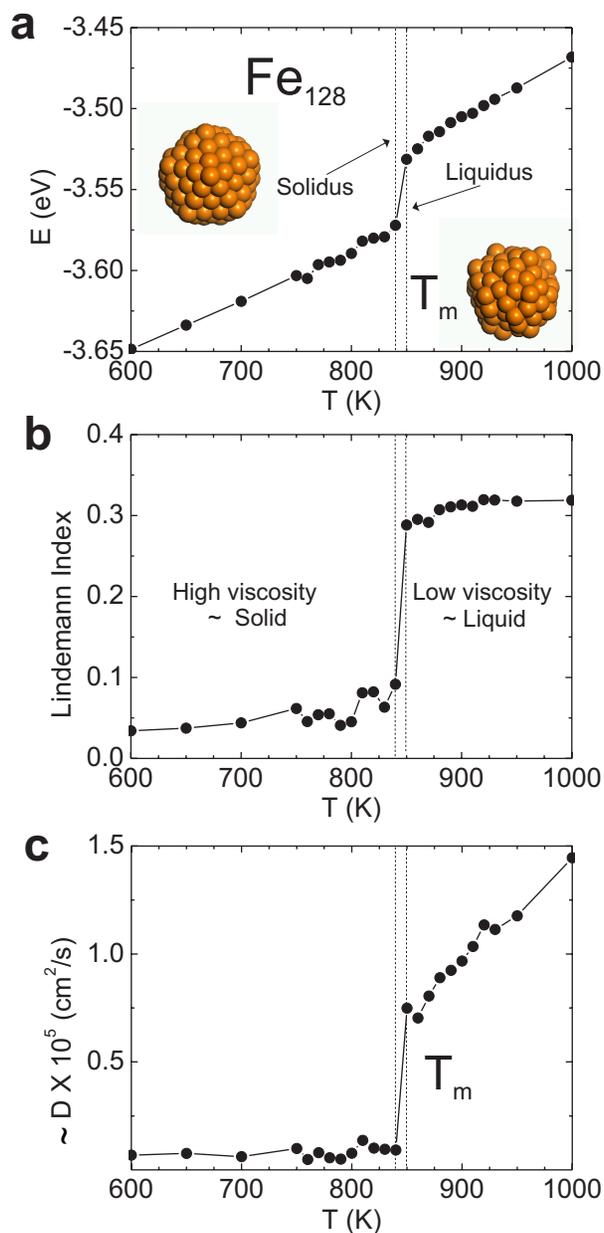
*S = Configurational (ideal max  $\Delta S \sim 0.7 k_B$ /atom)  $-k[x \cdot \log x + (1-x) \cdot \log(1-x)]$   
 vibrational ( $\Delta S \sim 0.1 - 0.2 k_B$ /atom) and  
 electronic neglected*



Solubility as a function of D !



# What about kinetics ? (viscous state effect on the activity)



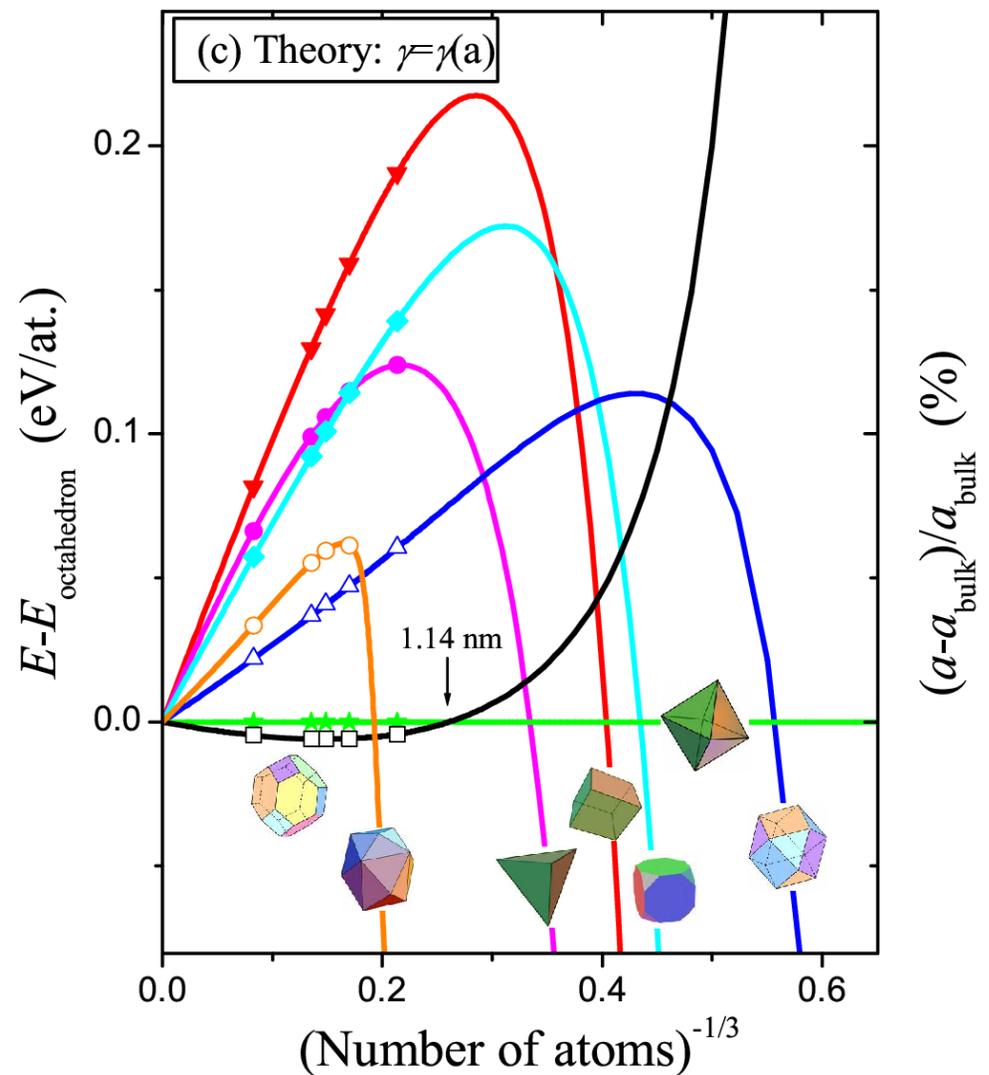
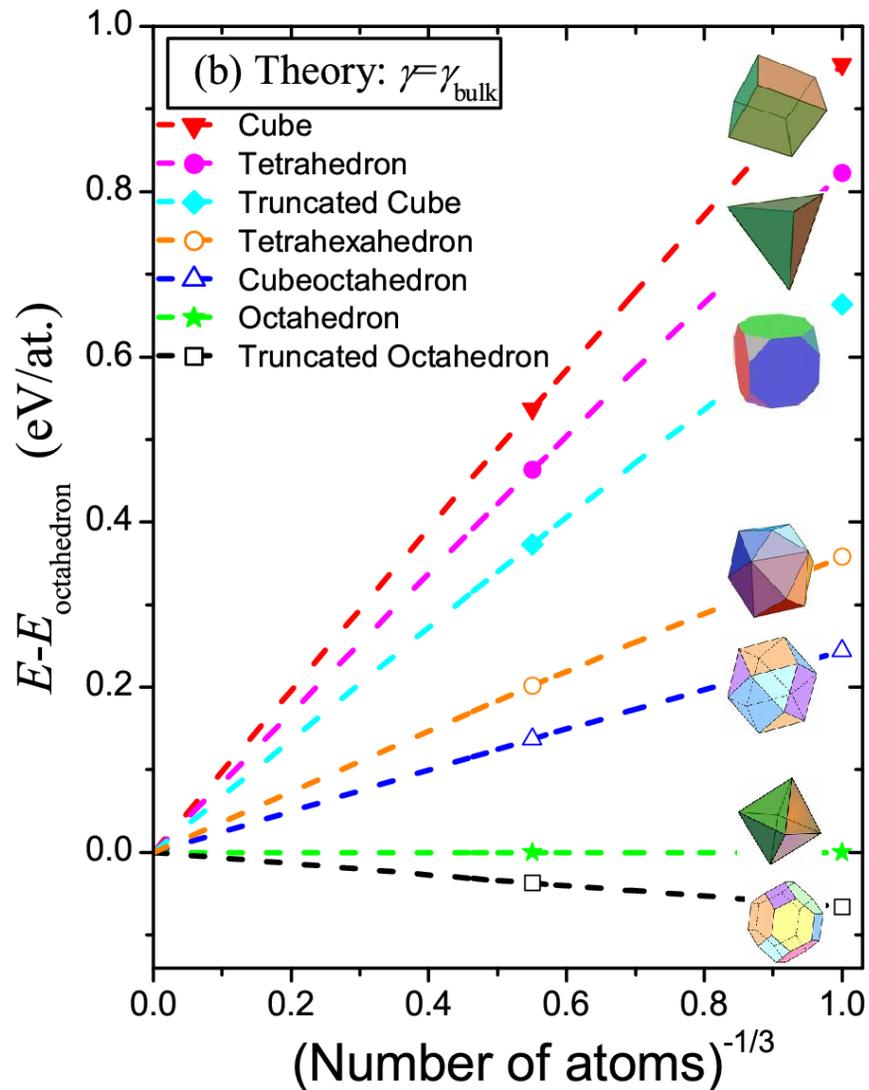
$T=\text{fixed}$ : small particle  $\Rightarrow$  more "fluid"  $\Rightarrow$  transforms faster ?

## **Question 4**

***Explaining weird shapes?***

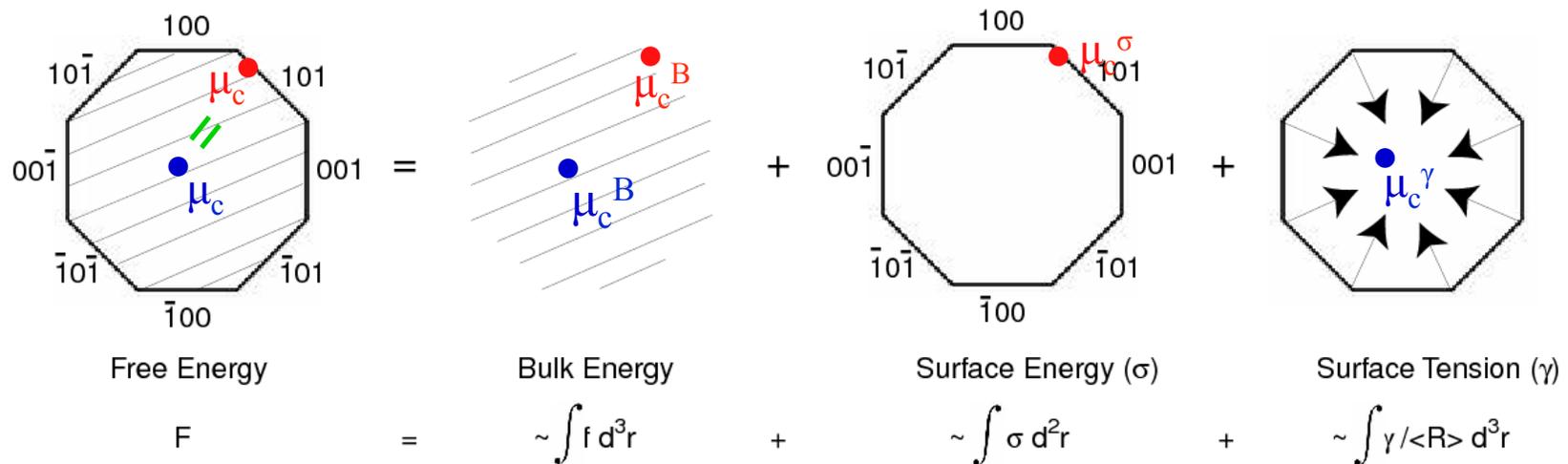
# Variational Description of surface tension contribution: Pt

Six common shapes with (100) and (111) facets: Peng, Yang, Nano Today **4**, 143 (2009)  
Tetrahexahedron with (730) facets: Tian et al., Science **316**, 732 (2007)



# Variational Description of surface tension contribution: Pt

35



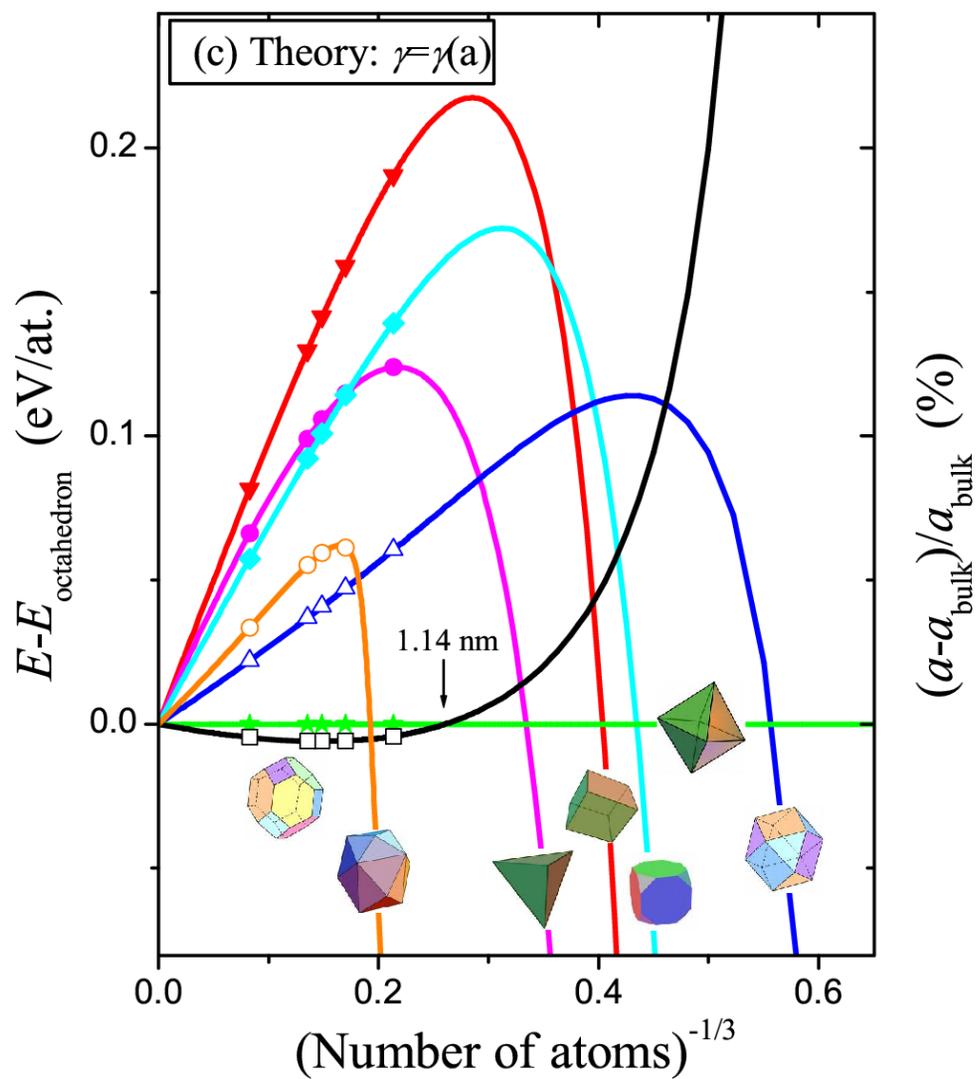
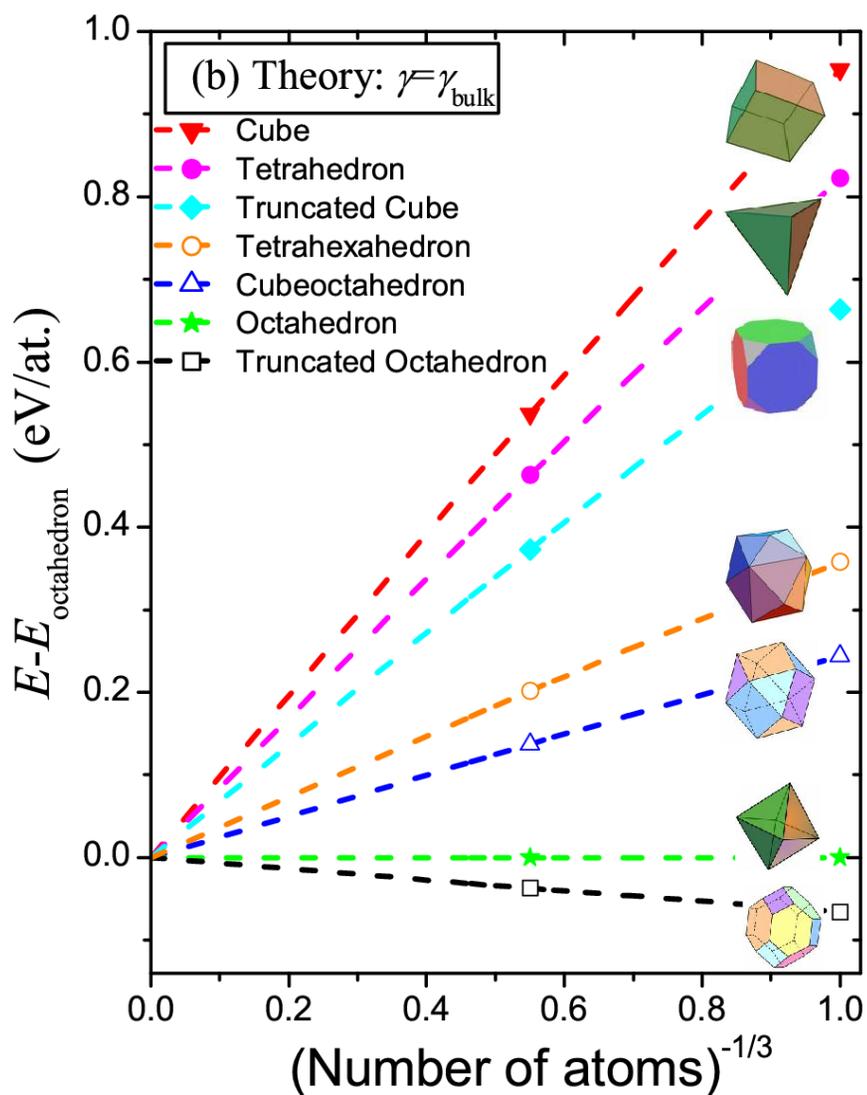
Stability of competing phases as function of size (size-pressure ~ Young-Laplace)

$$E(a) = E_{\text{bulk}}(a) + \sum_{lmn} \sigma_{lmn}(a) S_{lmn}(a)$$

$$E = E(a) \Big|_{\frac{\delta E}{\delta a} = 0}$$

We chose *Platinum: unexplained experiments*

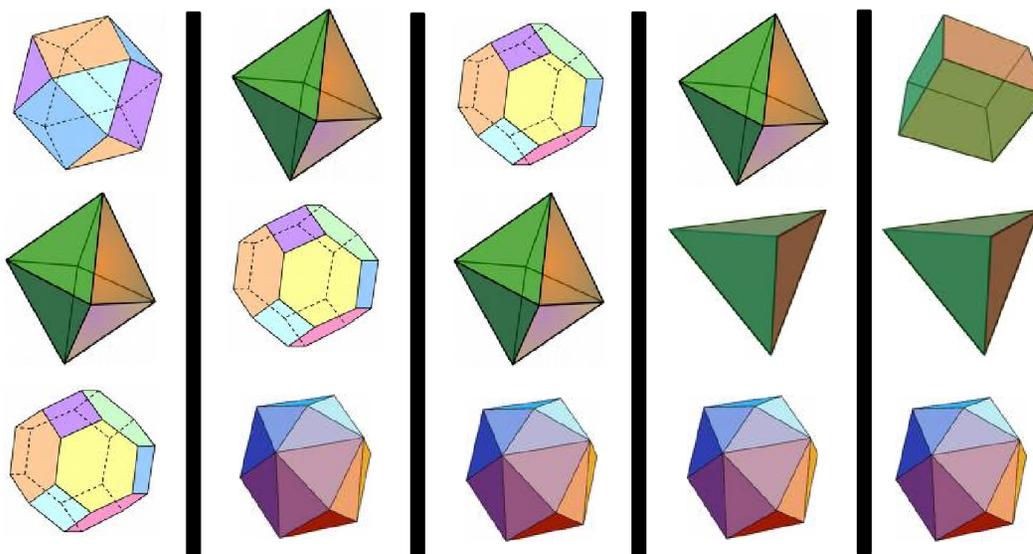
Six common shapes with (100) and (111) facets: Peng, Yang, Nano Today **4**, 143 (2009)  
 Tetrahexahedron with (730) facets: Tian et al., Science **316**, 732 (2007)



# Size-dependent stability of Pt nanocatalysts

37

## Pt three most stable nanocrystals



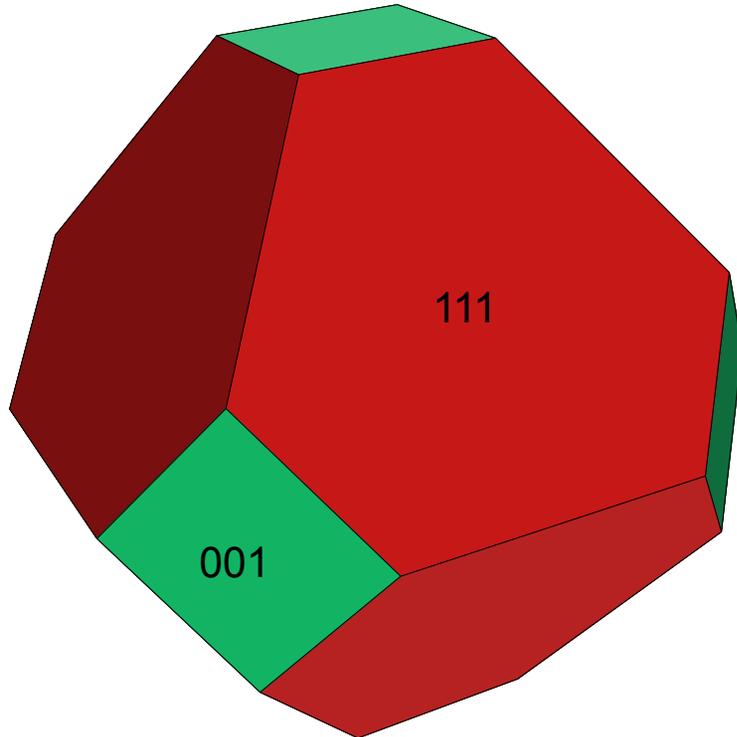
*We underestimate the thresholds a little because we neglect variational contribution of free energy of edges, corner (with respect to order parameter).*

Beyond previous state of the art: Barmard, Zapol, J. Chem. Phys. **121**, 4276 (2004)

*Ab Initio Insights on the Shapes of Pt nano-catalysts, ACS-NANO* **5**(1), 247-254 (2011)

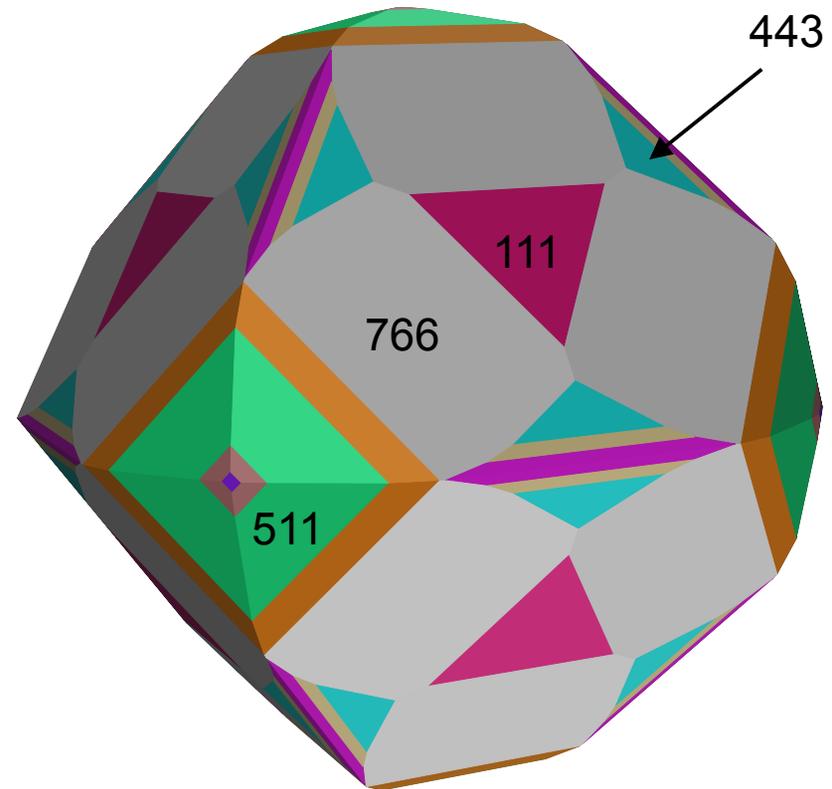
# Wulff's plot of Pt equilibrium shape

~ unrelaxed

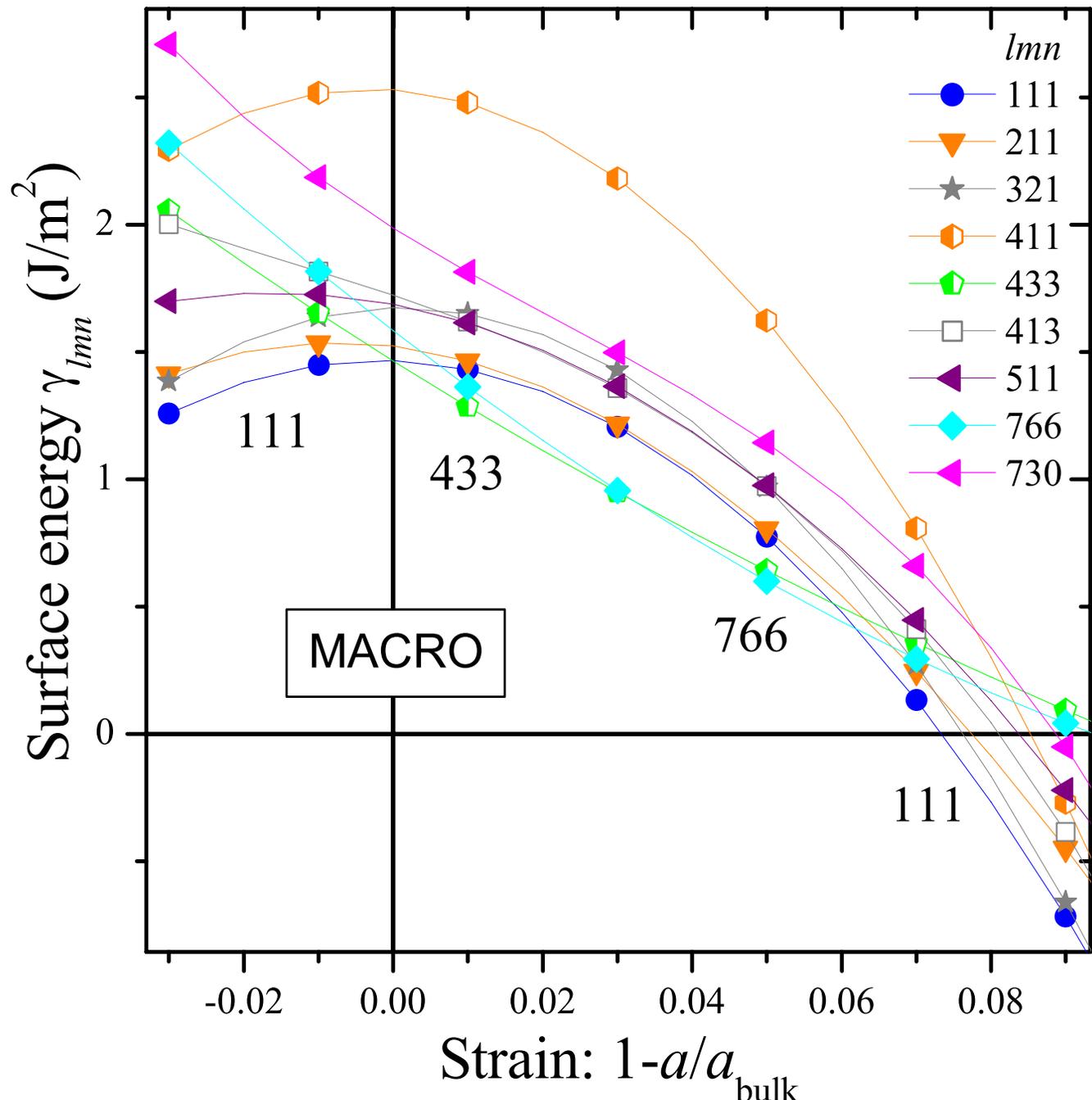


$\gamma(lmn)(a_0)$   
surface energy

~ relaxed



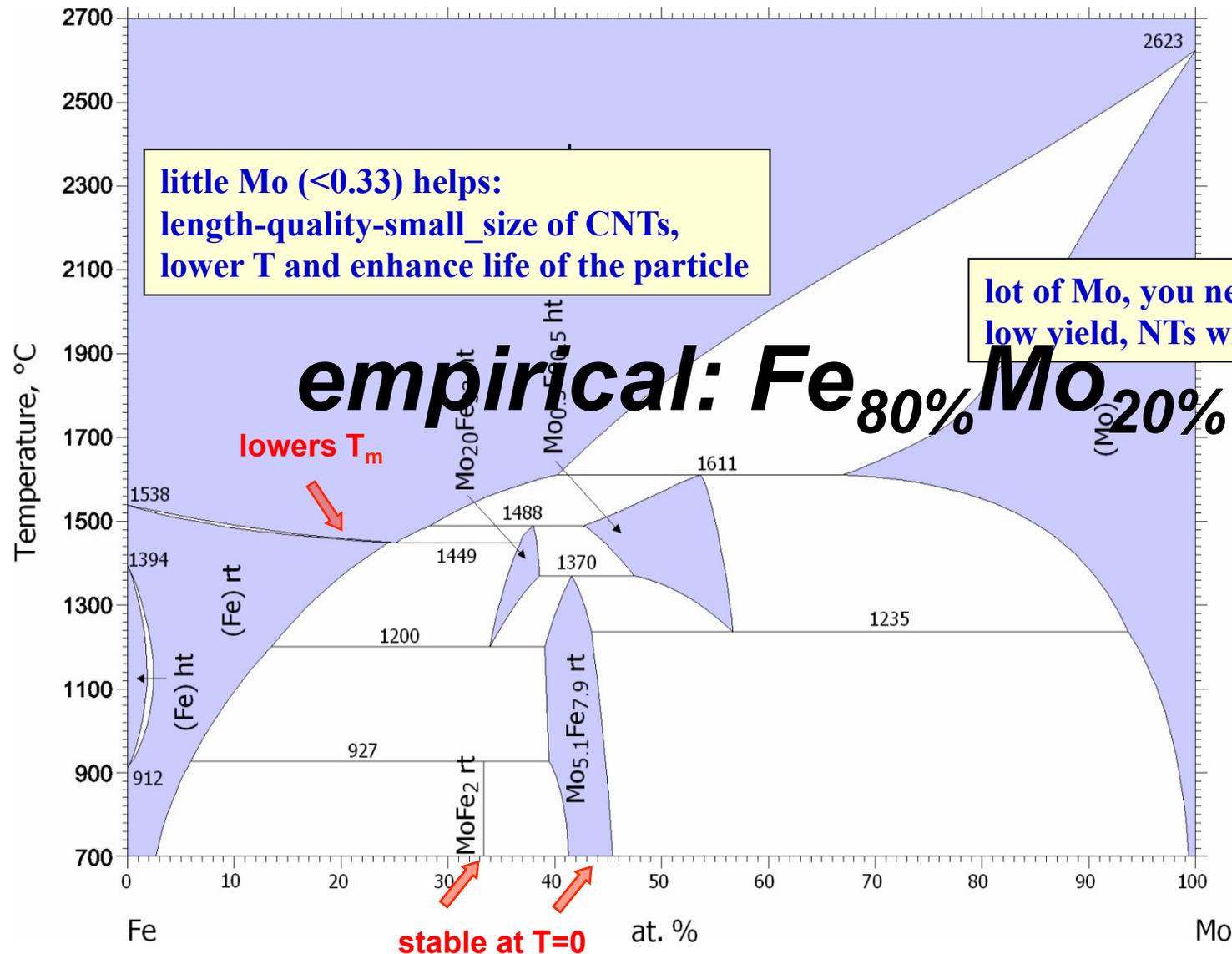
$$\gamma(a) = \underbrace{\gamma(a_0)}_{\text{surf energy}} + \underbrace{\left. \frac{\partial \gamma(a)}{\partial a} \right|_{a_0}}_{\text{surf tension}} (a - a_0) + \dots$$



# Alloying ? Experiments 3

Why Mo? Mo was chosen because it lowers  $T_m$  of FeMo.  
And to reduce sintering of particles (Co, Resasco *et al.*).

APL **90**, 163120 (2007)



# Ternary phase diagram for Fe-Mo-C

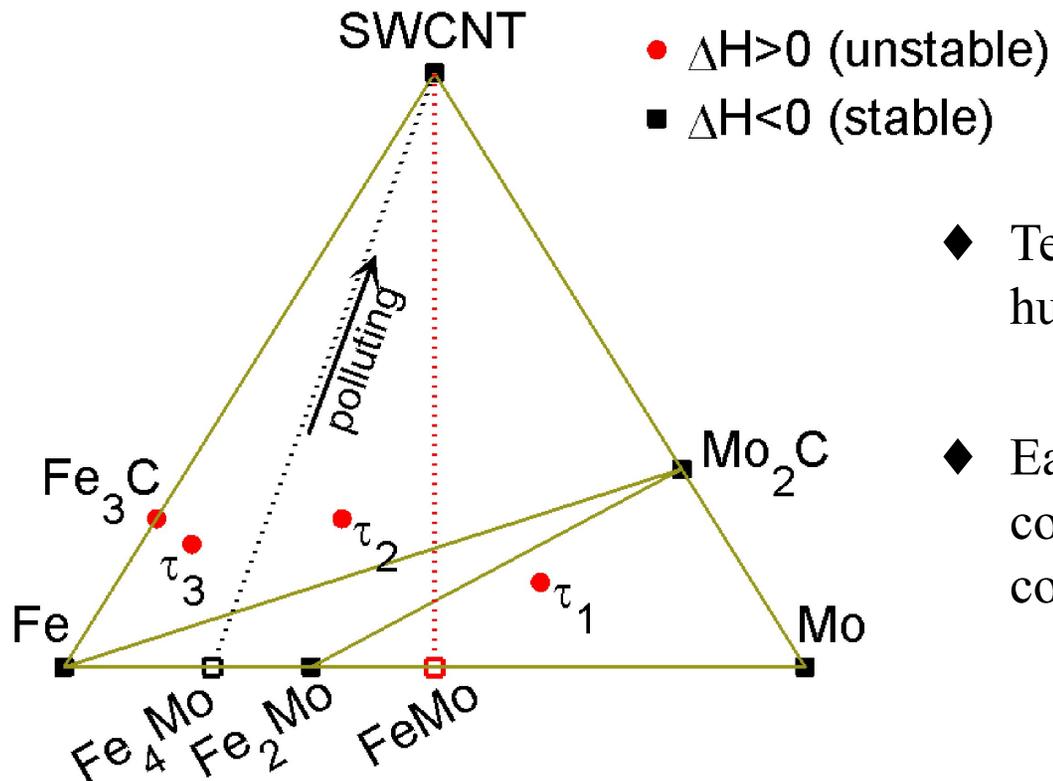
“Size-pressure approximation”

Fe-Mo-C phase diagram (with all competing phases)

- Binary phases:  $\text{Fe}_3\text{C}$ ,  $\text{Fe}_2\text{Mo}$ ,  $\text{Mo}_2\text{C}$  and  $\text{Fe}_4\text{Mo}$  ( $\text{Fe}_{0.8}\text{Mo}_{0.2}$  my **RND** particle)
- Ternary phases:  $\text{Fe}_2\text{Mo}_4\text{C}$  ( $\tau_1$ ),  $\text{Fe}_2\text{MoC}$  ( $\tau_2$ ), and  $\text{Fe}_{21}\text{Mo}_2\text{C}_6$  ( $\tau_3$ ).

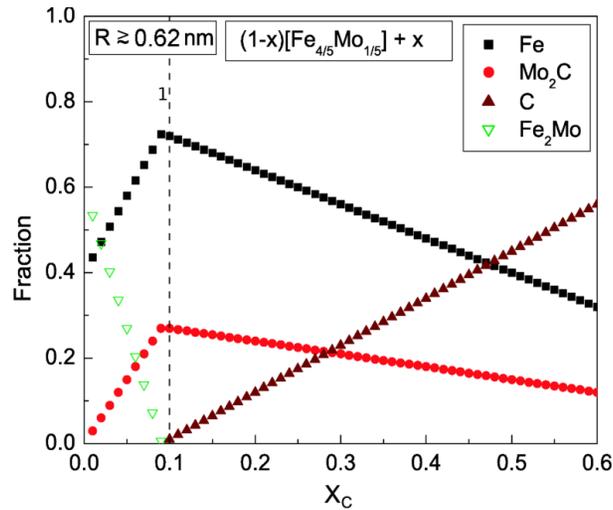
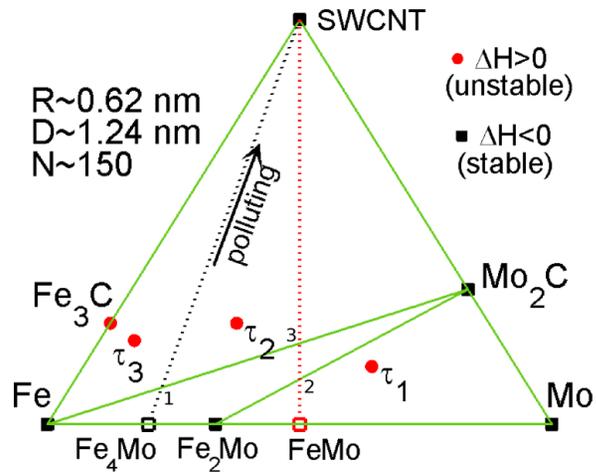
References: Fe (bcc), Mo (bcc), and C (SWNTs)

R~1.23 nm, D~2.46 nm, N~600



- ◆ Ternary convex hull is a “pyramid” hull with triangular facets.
- ◆ Each point can be expanded as linear combinations of the three vertices containing it.

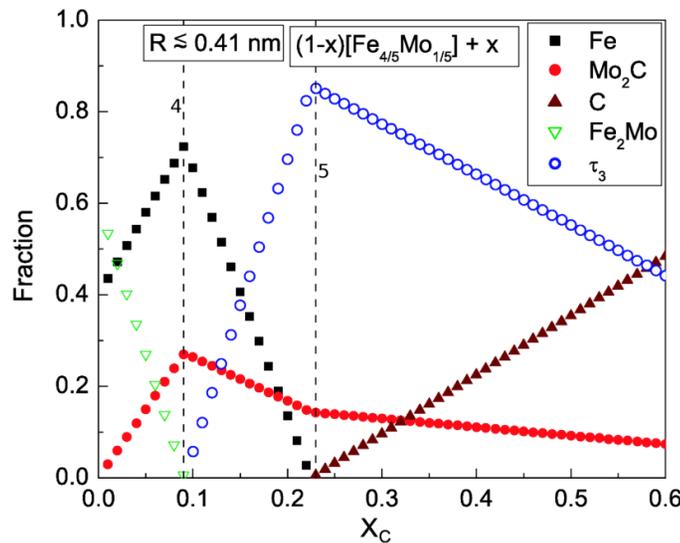
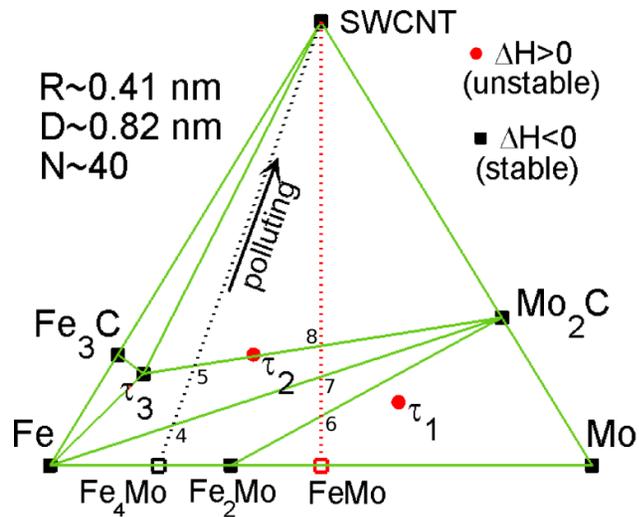
little Mo (<0.33) helps



**big**

**STEADY STATE:**  
Free Fe never dies  
stays in surface

$\text{Fe}_2\text{Mo}$  decomposes in free  $\text{Fe} + \text{Mo}_2\text{C}$ : Mo prefers C, nucleated  $\text{Mo}_2\text{C}$  is segregated inside ( $\gamma_{\text{Fe}} < \gamma_{\text{Fe}_2\text{Mo}} < \gamma_{\text{FeMo}_{\text{rnd}}}$ )



**small**

Fe lives free  
BUT dies !

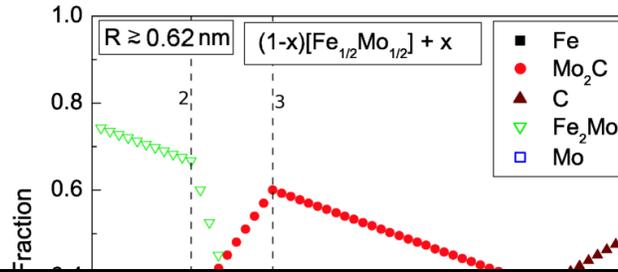
Free Fe is released **even in tiny particles**  
(**NO STEADY STATE**, but FeMo CNTs can be smaller than Fe CNTs)

more Mo does not work

big

SWCNT  
R~0.62 nm  
D~1.24 nm  
N~150

•  $\Delta H > 0$   
(unstable)  
■  $\Delta H < 0$   
(stable)

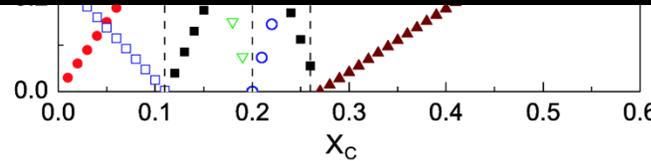
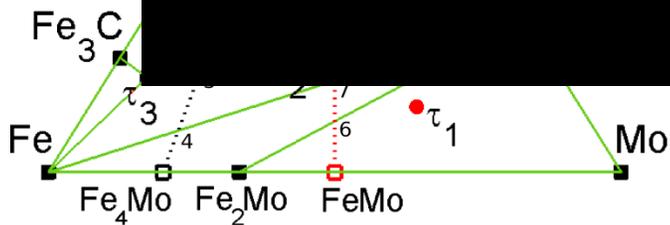


**adding Mo**

- *Mo likes C more than Fe*
- *FeMo releases free Fe*
- *more Fe with smaller size*
- *longer life of Fe*

Fe<sub>3</sub>C  
Fe  
Fe<sub>2</sub>  
No RND

R~0.4  
D~0.8  
N~40



BUT dies !

Even worse, you also form a stiff ternary carbide  $\tau_3$ .

# Conclusions

***THERMODYNAMICS*** rules nano-catalysts pollution.  
***SIZE-PRESSURE*** approximation to get trends, **SOLUBILITY**.  
***STEADY-STATE*** for big D (Fe and FeMo).  
***RULES*** for nano-catalysts design.

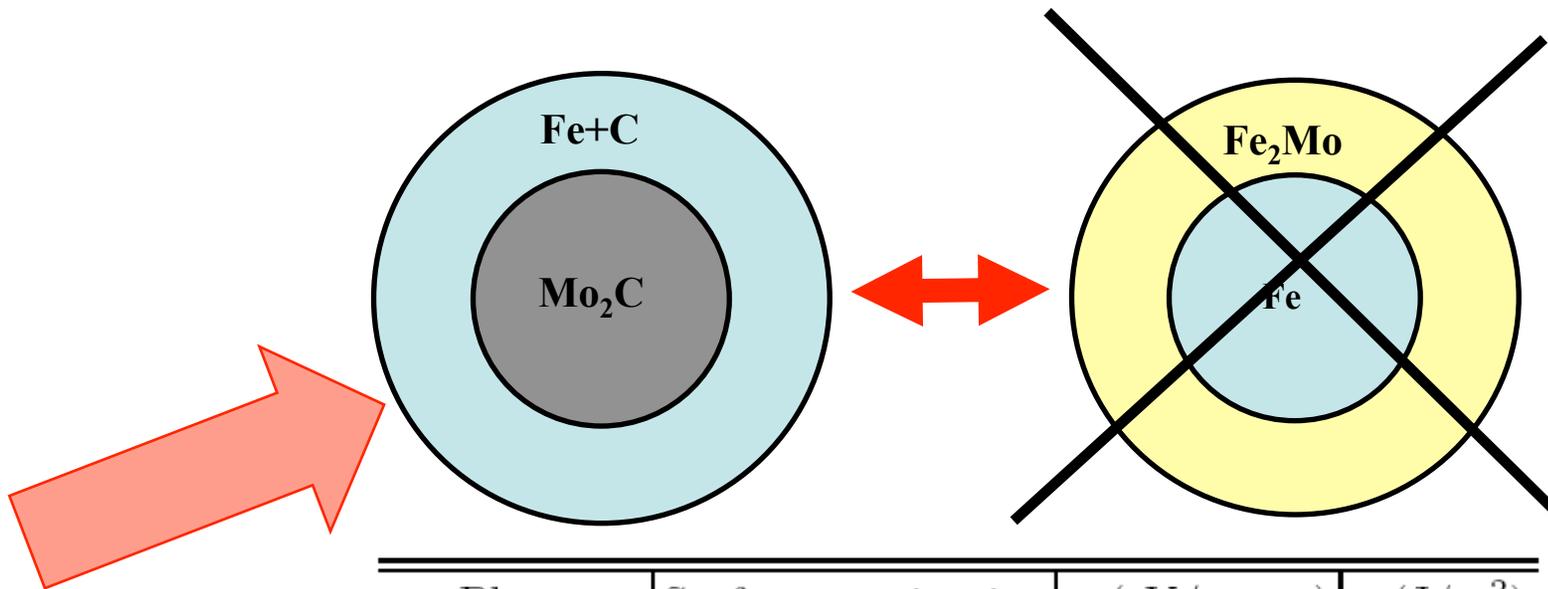
PRL **100** 195502 (2008); PRB **78** 054105 (2008); PRB **75** 205426 (2007)  
APL **90** 163120 (2007); PRB **77** 115450 (2008); APL **88** 133110 (2006)

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[materials.duke.edu](http://materials.duke.edu)  
[stefano@duke.edu](mailto:stefano@duke.edu)

**Multiple postdoc openings**

# Where are Mo and Fe ?



Phase	Surface termination	$\sigma$ (eV/atom )	$\gamma$ (J/m <sup>2</sup> )
Fe-bcc	(1 1 0)	0.85	2.44
Fe <sub>71</sub> Mo <sub>1</sub> mid	(1 1 0)	12.89	4.03
Fe <sub>71</sub> Mo <sub>1</sub> out	(1 1 0)	13.28	4.16
Fe <sub>2</sub> Mo	(0 0 4)	3.68	3.12

$$\gamma_{Fe} < \gamma_{Fe_2Mo} < \gamma_{FeMo}$$